

# Intermediate-Mass BHs and star clusters

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# Outline of this talk

## *Ultraluminous X-ray sources = accreting IMBHs?*

*What is the mass of the accreting BHs in ULXs?*

From: luminosity

X-ray colors

other arguments

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## *Star clusters/ULX associations?*

*Survival or dispersion of protoclusters*

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## *Implications for SMBH growth at high $z$*

Seed IMBHs from early nuclear starburst or from mergers?

# How to estimate the BH mass?

## *(Apparent isotropic)* **Luminosity**

Mass inferred from the Eddington limit

## *(Model-fitted)* **X-ray spectrum**

Mass inferred from the inner-disk temperature

## **X-ray timing** (breaks, QPOs)

Mass inferred from the characteristic variability timescale  
*(only 2 or 3 ULXs have characteristic timescale)*

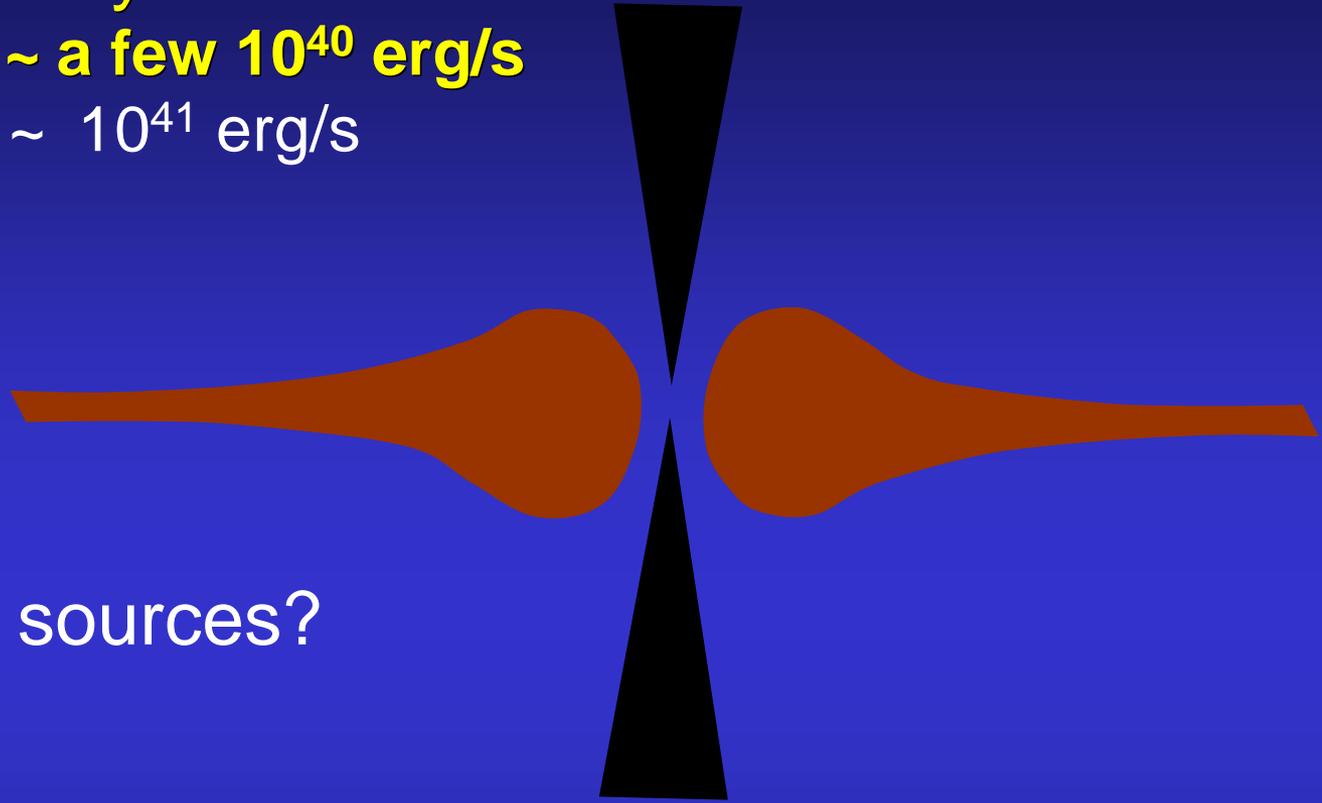
## **Optical spectroscopy** (line profiles and shifts)

Direct measurement of the mass function and orbital parameters

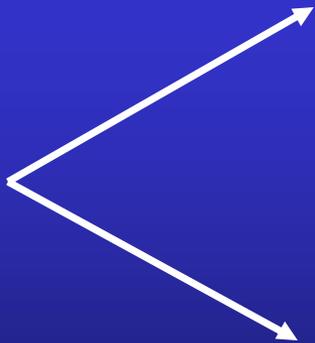
# Ultraluminous X-ray sources

(isotropic)  $L_x$  up to ~ a few  $10^{40}$  erg/s

(isotropic)  $L_{\text{bol}}$  up to ~  $10^{41}$  erg/s



beamed sources?



IMBHs with masses up to ~ a few  $100 M_{\text{sun}}$  ?

Eddington limit:  $L = 1.3 \cdot 10^{38} (M/M_{\text{sun}})$  erg/s

# X-ray-spectrum argument for IMBHs

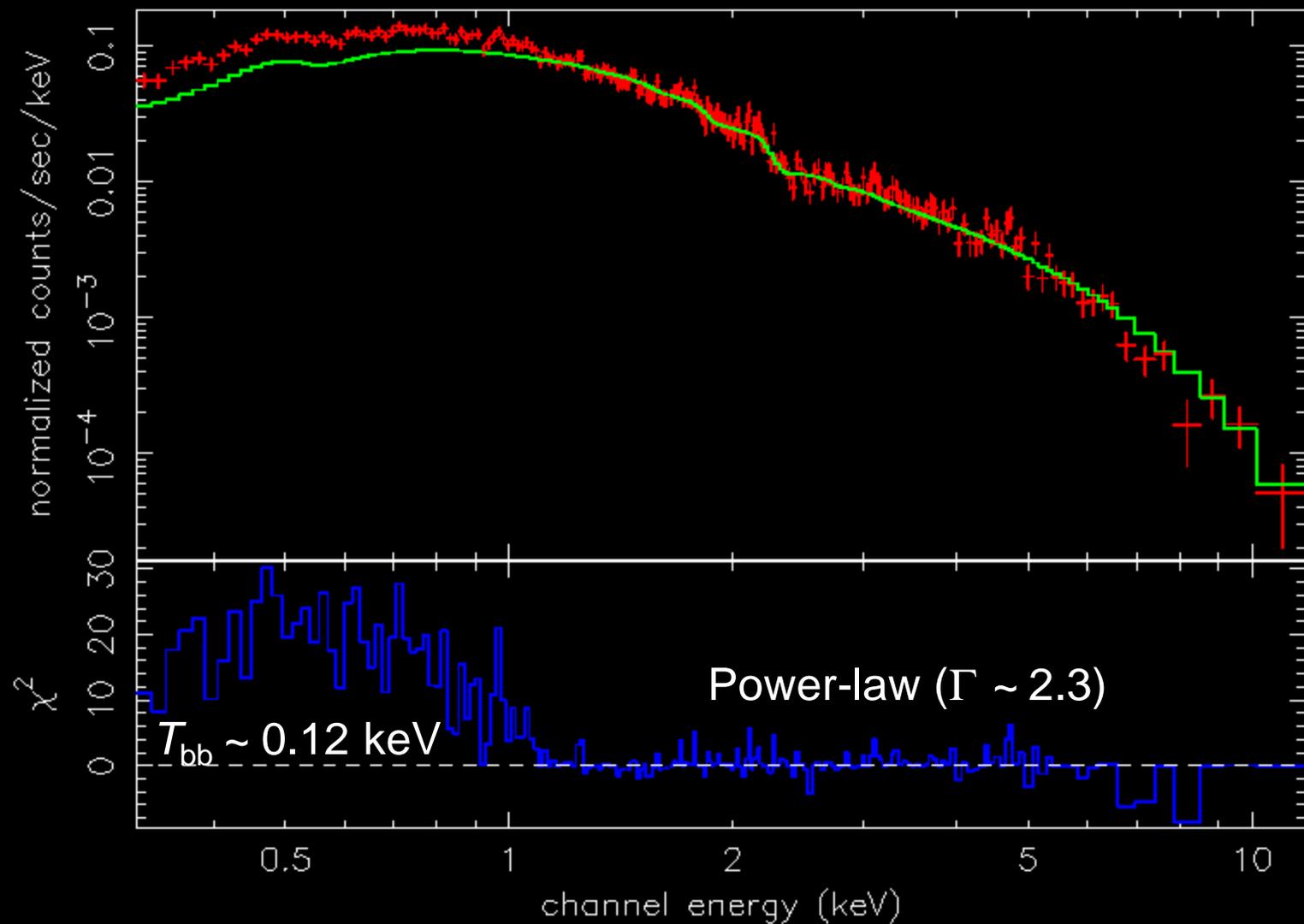
Standard, optically thick disk model:

$$\text{for } L \sim L_{\text{Edd}}, T_{in} \sim M^{-1/4}$$

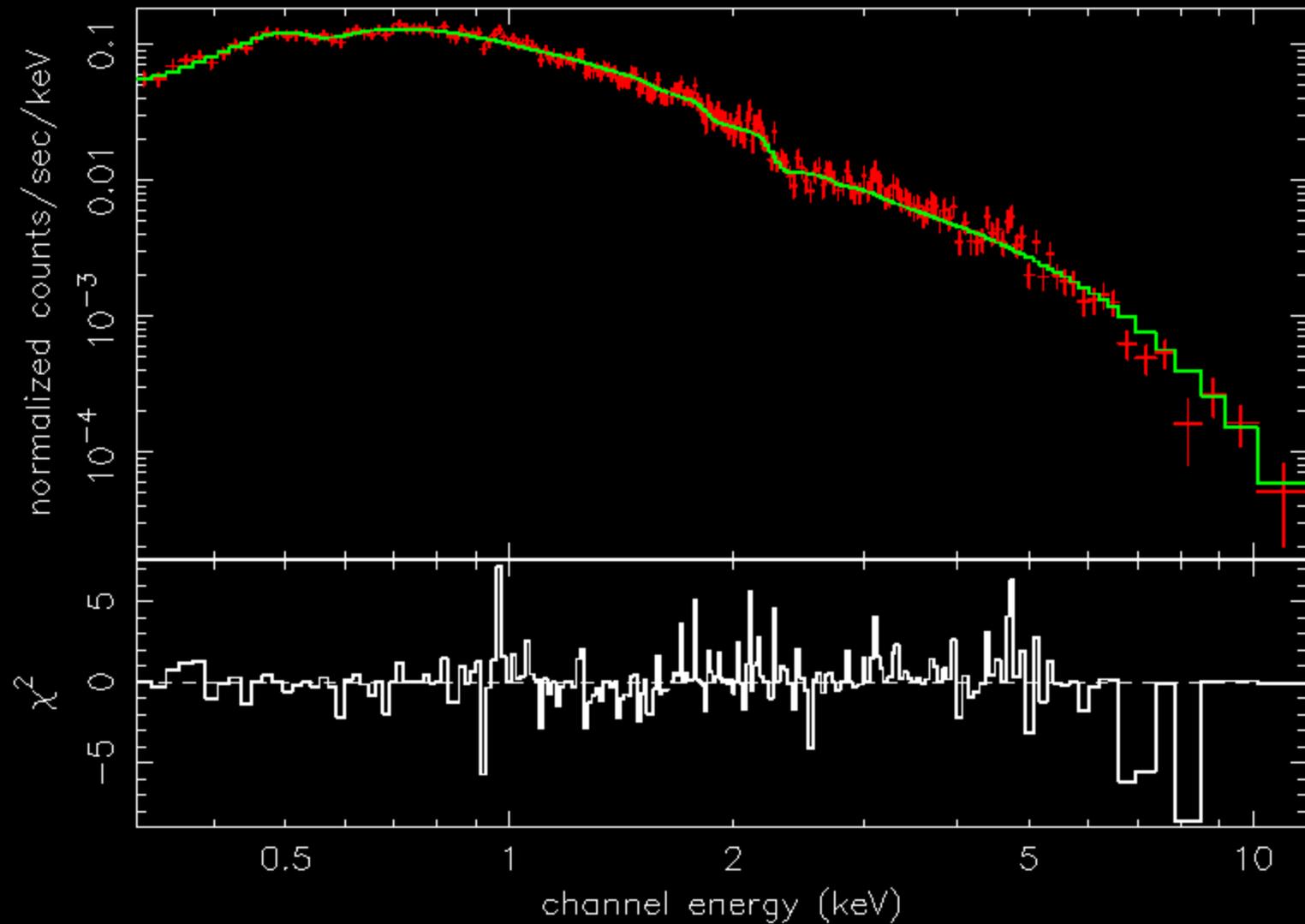
From *XMM* and *Chandra* observations:

$$T_{in} \sim 0.1\text{--}0.2 \text{ keV} \longrightarrow M \sim 10^3 \text{--}10^4 M_{\text{sun}}$$

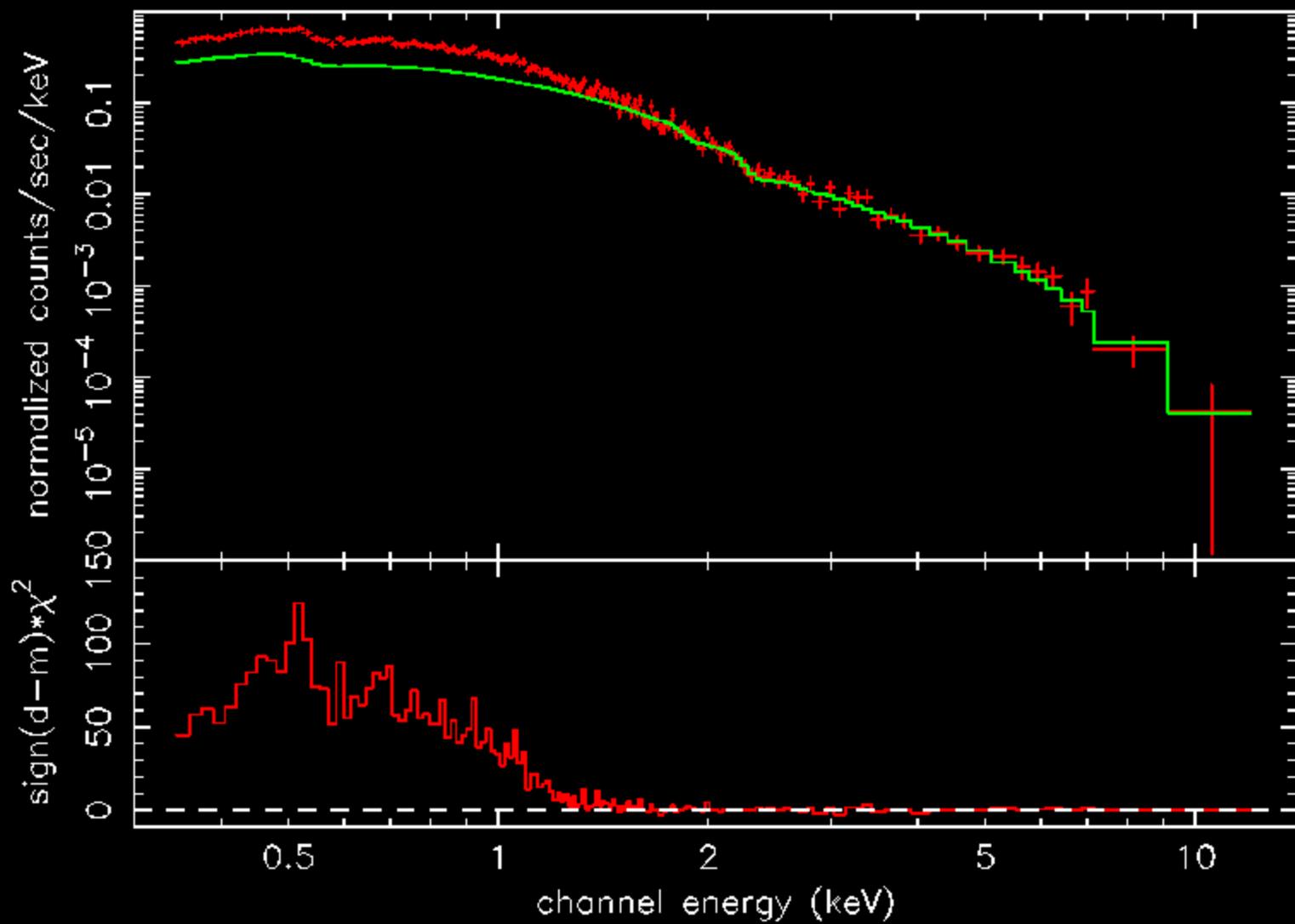
# X-ray spectrum of NGC4559 X7 (XMM)



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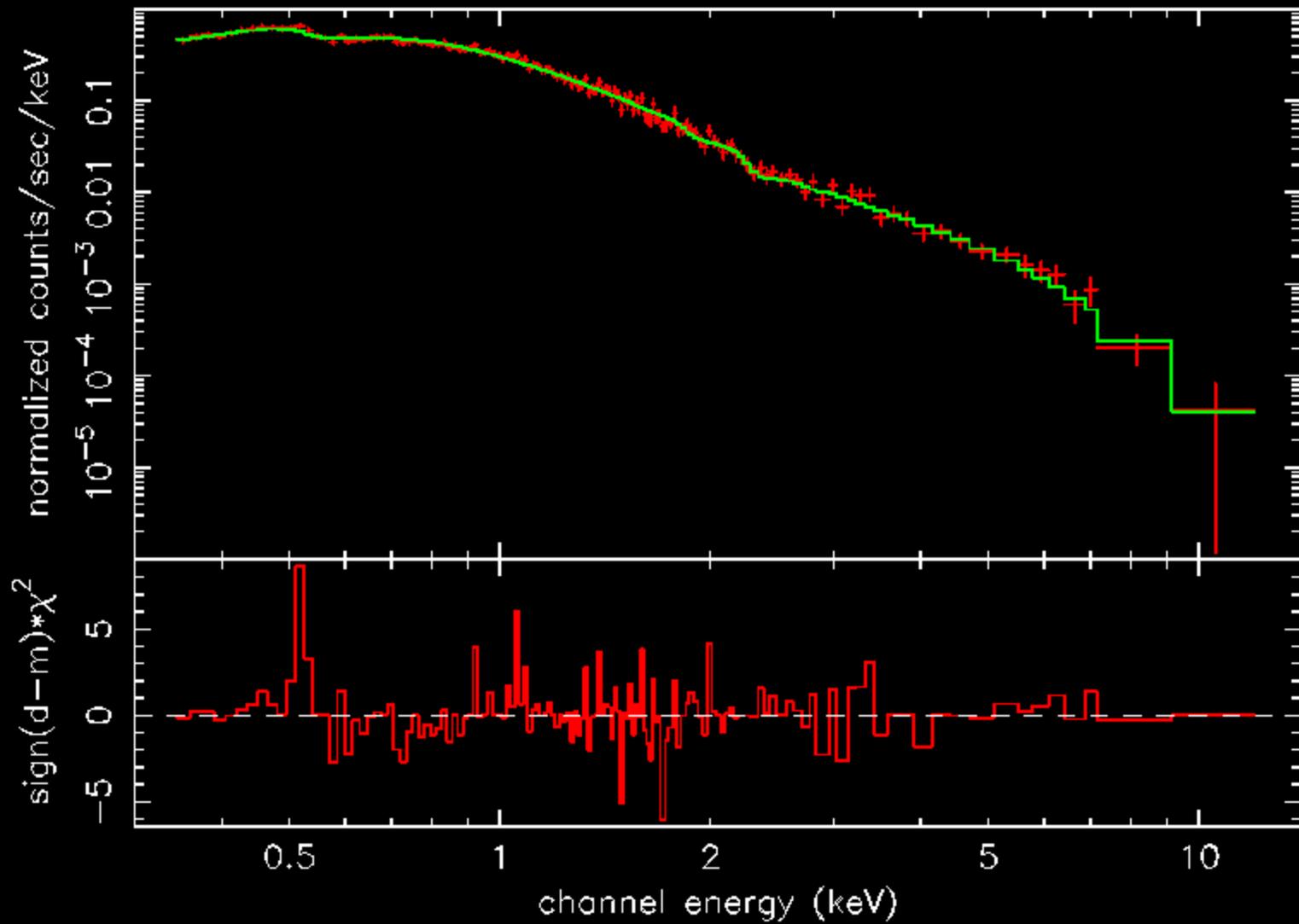


# NGC5408 X-1

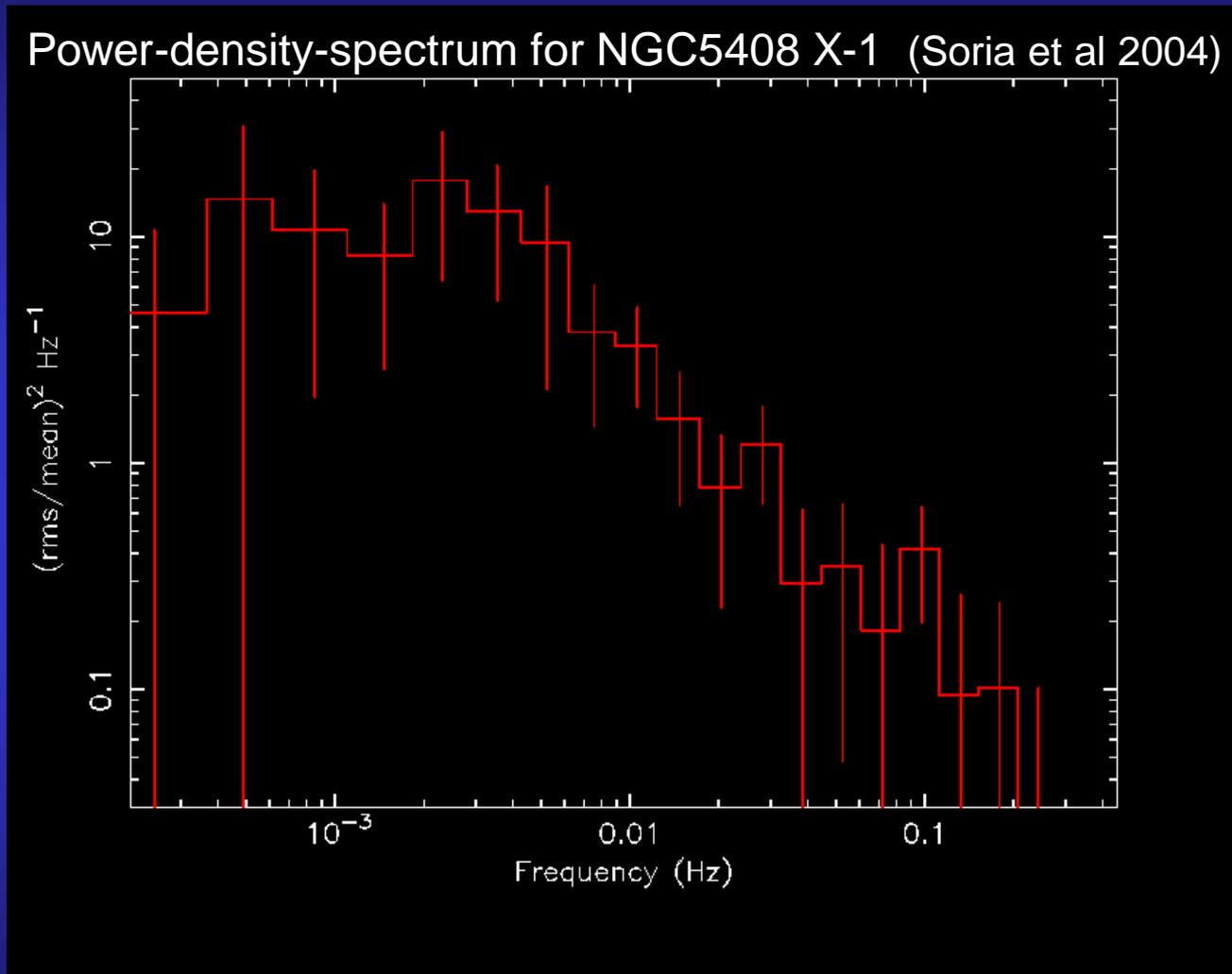


# NGC5408 X-1

$T_{bb} \sim 0.12$  keV



# X-ray-timing argument for IMBHs



Break frequency  $\sim \nu_L^{1.65}$ ,  $\nu_L \sim 1/M$  (Titarchuk & Fiorito 2005)

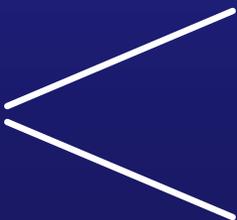
# What is an “intermediate mass” BH?

Luminosity argument suggests

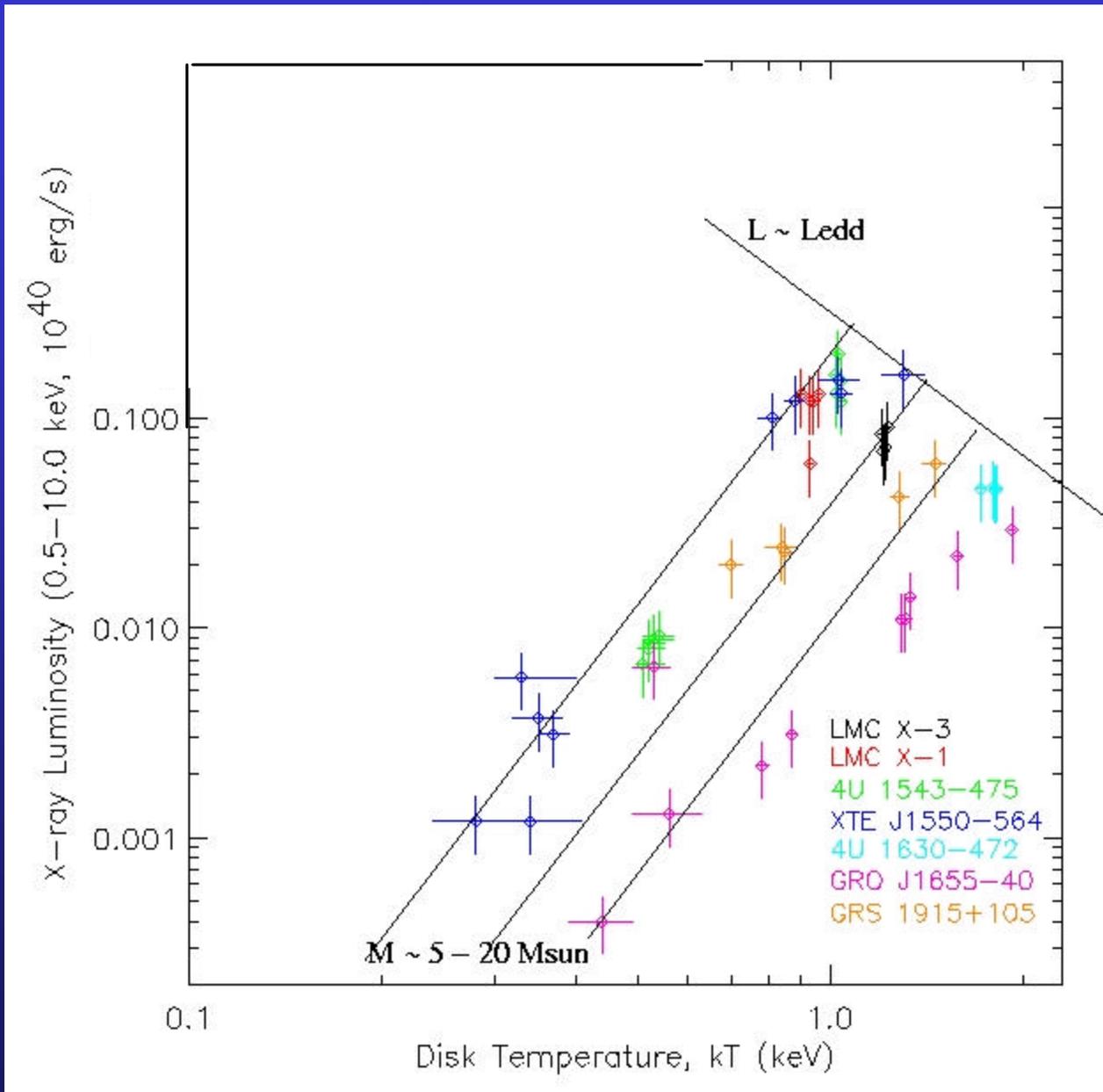
$$M \sim 100 M_{\text{sun}} \text{ at } L \sim L_{\text{Edd}}$$

Spectral argument suggests

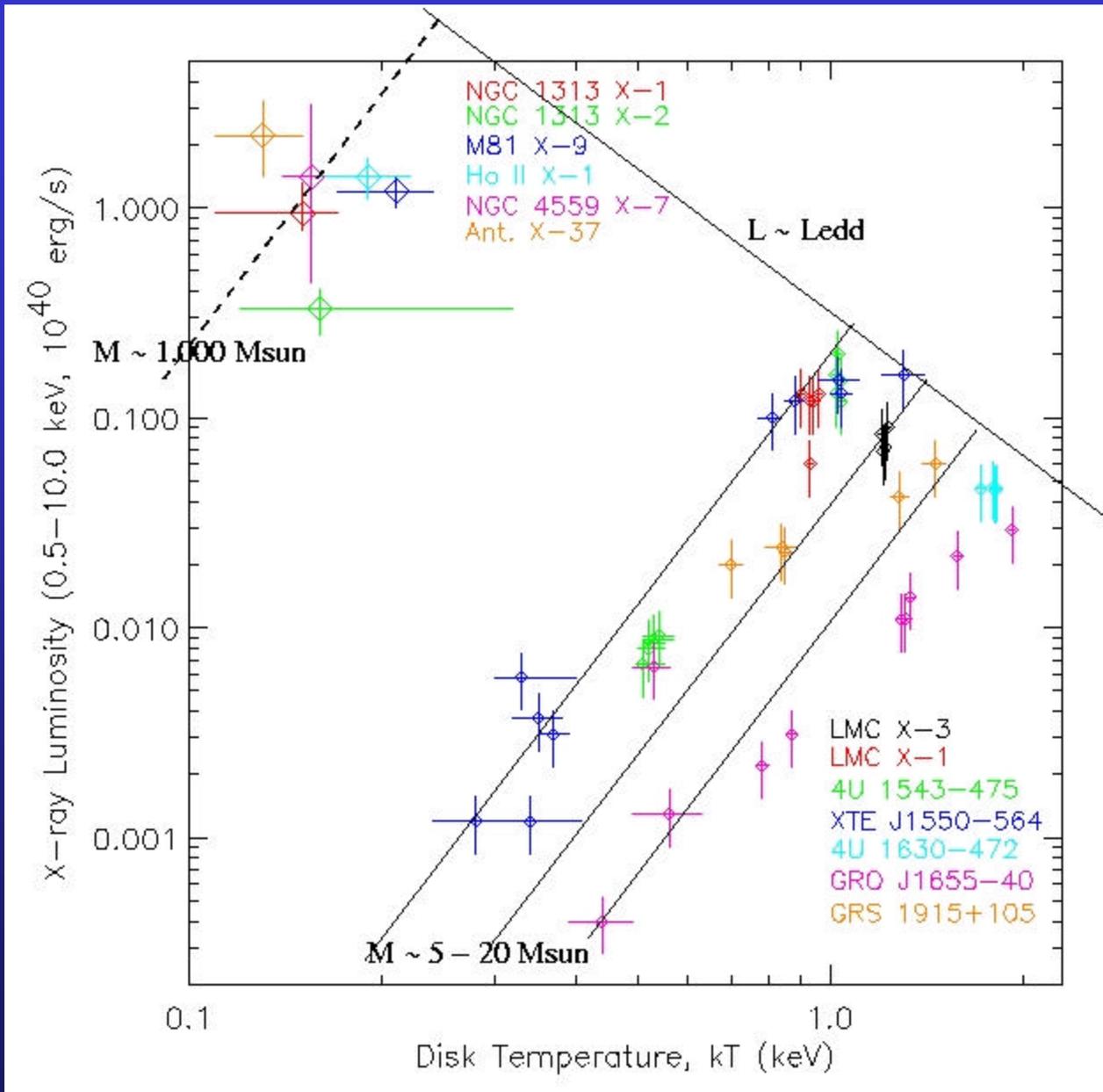
$$M \sim 10^3 \text{--}10^4 M_{\text{sun}} \text{ at } L \sim 0.1\text{--}0.01 L_{\text{Edd}}$$

Are they consistent 

- with each other?
- with  $M$  and  $L$  in stellar-mass BH?



(adapted from Miller et al 04)



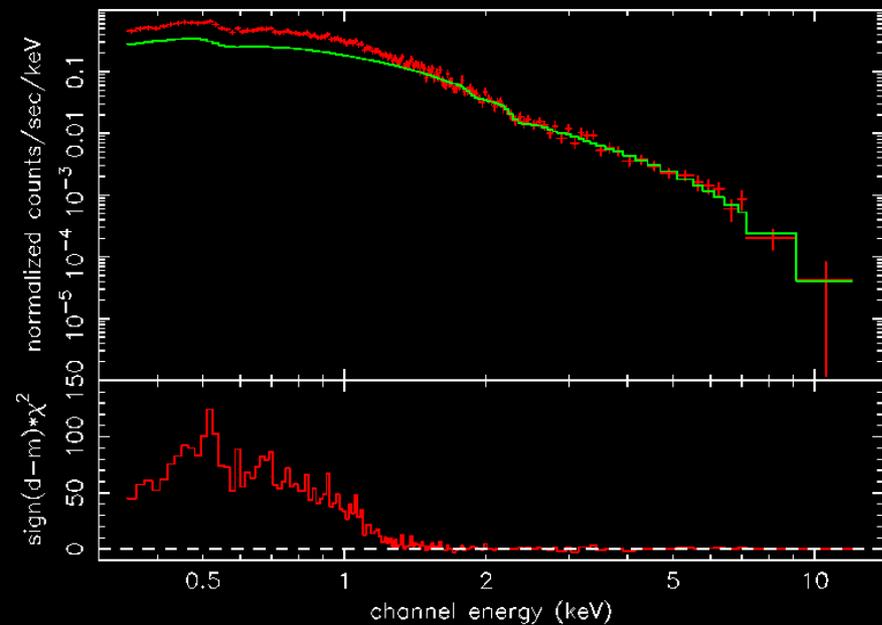
(adapted from Miller et al 04)

# What is the “soft excess” at 0.15 keV?

- Signature of the accretion disk?  
(if so, BH masses  $> 1000 M_{sun}$  )
- Reprocessed component, downscattered in an outflow?  
(Titarchuk & Shrader 2005, Laming & Titarchuk 2004, King & Pounds 2003)
- Reflection component from ionized disk? (Ross & Fabian 2004)
- Fitting artifact due to absorption at 1 keV? (Gierlinski & Done 2004)
- Combination of reflection + wind absorption? (Chevallier et al 2005)

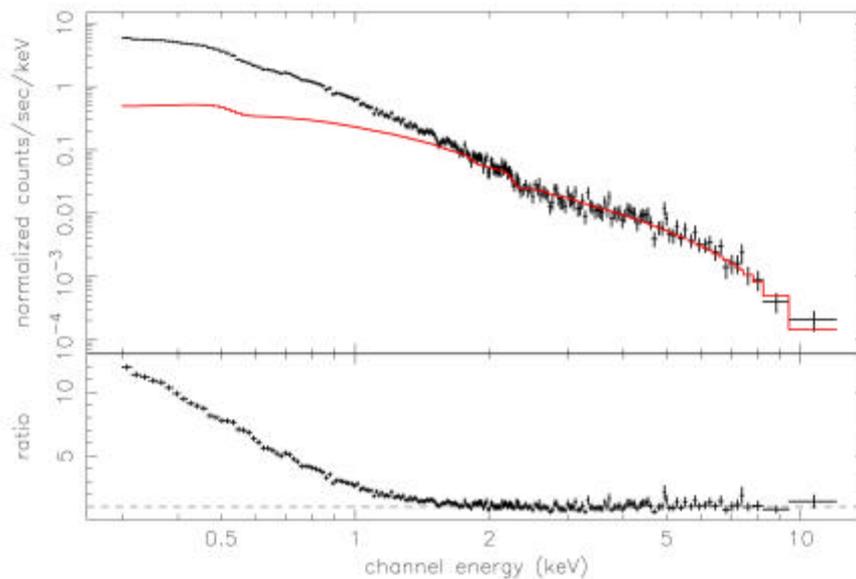
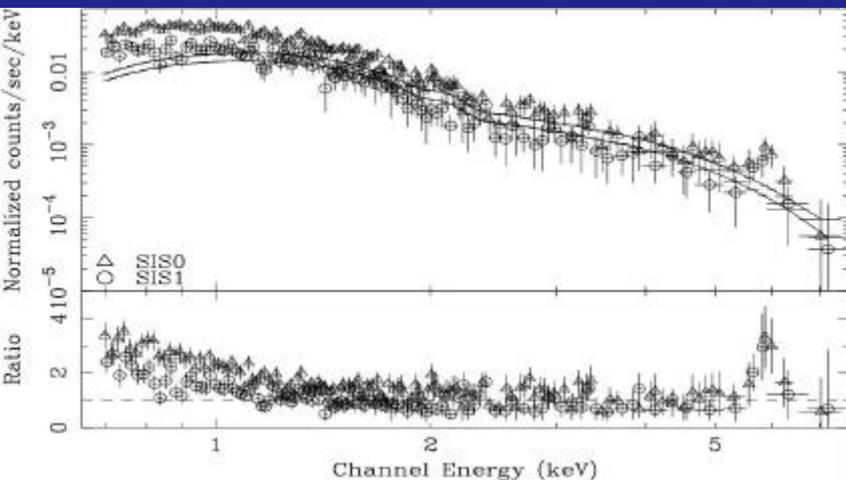
*Similar problem for ULXs and many AGN*

# ULX in NGC 5408



“Soft excess” in ULXs and AGN

REJ1034 (Soria & Puchnarewicz 2003)



(courtesy of Kajal Ghosh)

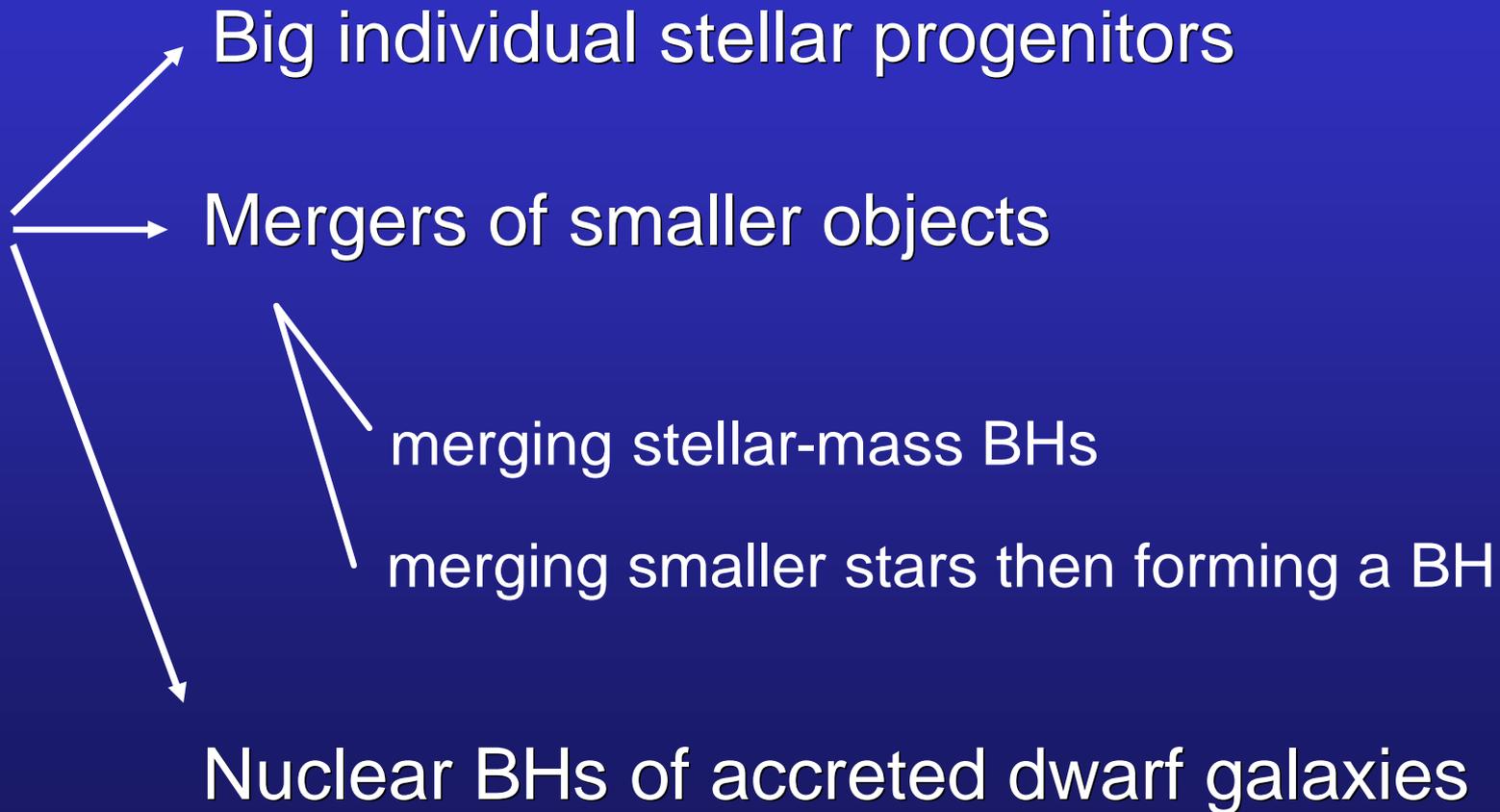
*Personal bias:*

Trust the luminosity distribution  
more than spectral or timing arguments

We need BHs with  $M$  up to  $\sim 200 M_{sun}$ , not  $5000 M_{sun}$

**Did ULXs form inside  
young star clusters?**

# How to form a 100 - 200 $M_{sun}$ BH?



## Most massive individual stars:

**Today:** Pistol star  
initial mass  $\sim 200 M_{\text{sun}}$   
final core mass  $\sim 10 M_{\text{sun}}$

**At zero metallicity ( $z > 10$ ):**

**Pop-III stars** may have initial masses  $> \sim 500 M_{\text{sun}}$   
and small wind losses



may produce massive BHs (**Pop-III IMBHs**)



# Problems of Pop-III IMBH scenario for ULXs

Brightest ULXs in young star-forming environments  
*(some correlation with star formation)*

Pop-III IMBHs need to capture a younger star  
Capture rates too low?

Pop-III IMBHs may not even exist  
*(mass constraints from re-ionization  
and chemical enrichment)*

# Stellar merger model: IMBH formation in a young super-star-cluster

Dynamical friction

$10^6 M_{\text{sun}}$  cluster

Mass segregation

Runaway core-collapse

$1000 M_{\text{sun}}$  BH

Stellar collisions/mergers in the core

Short-lived, very massive star ( $\sim 1000 M_{\text{sun}}$ )

Hypernova or direct collapse into **IMBH**

*Numerical simulations by Portegies Zwart et al  
and by Gurkan, Rasio et al.*

# Formation of an IMBH in a young star cluster

## Two necessary conditions

- Core collapse timescale < lifetime of the O stars

$$t_{\text{cc}} < \sim 3 \text{ Myr}$$
$$t_{\text{cc}} \sim 0.1 - 0.2 t_{\text{rh}} \text{ (relaxation timescale)}$$
$$\longrightarrow t_{\text{rh}} < \sim 30 \text{ Myr}$$

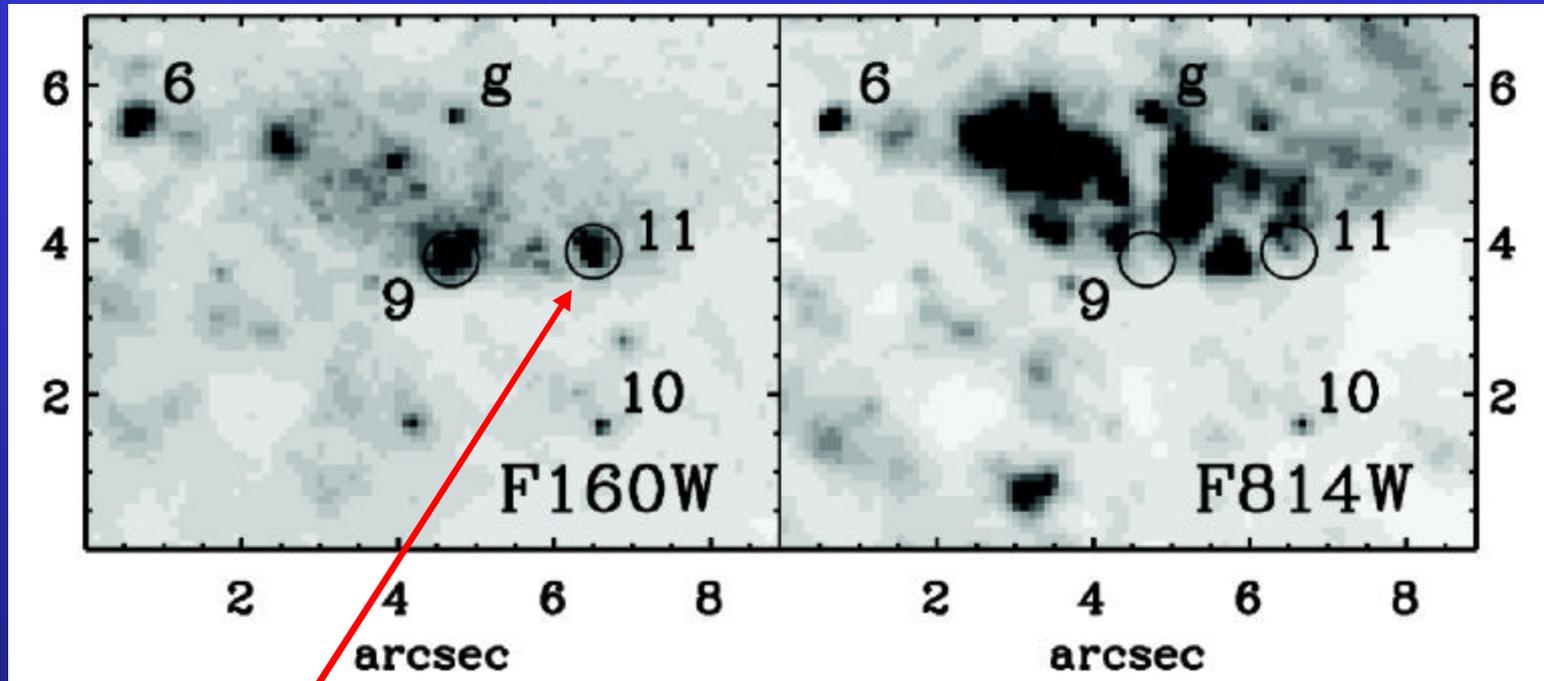
- Mass of the cluster  $M_{\text{cl}} > \sim 10^5 M_{\text{sun}}$

$$M_{\text{bh}} \sim 0.001 - 0.002 M_{\text{cl}}$$

$$\text{We need } M_{\text{bh}} > \sim 100 M_{\text{sun}}$$

*Numerical simulations by Portegies Zwart et al  
and by Gurkan, Rasio et al.*

# Observational evidence for ULXs in clusters?

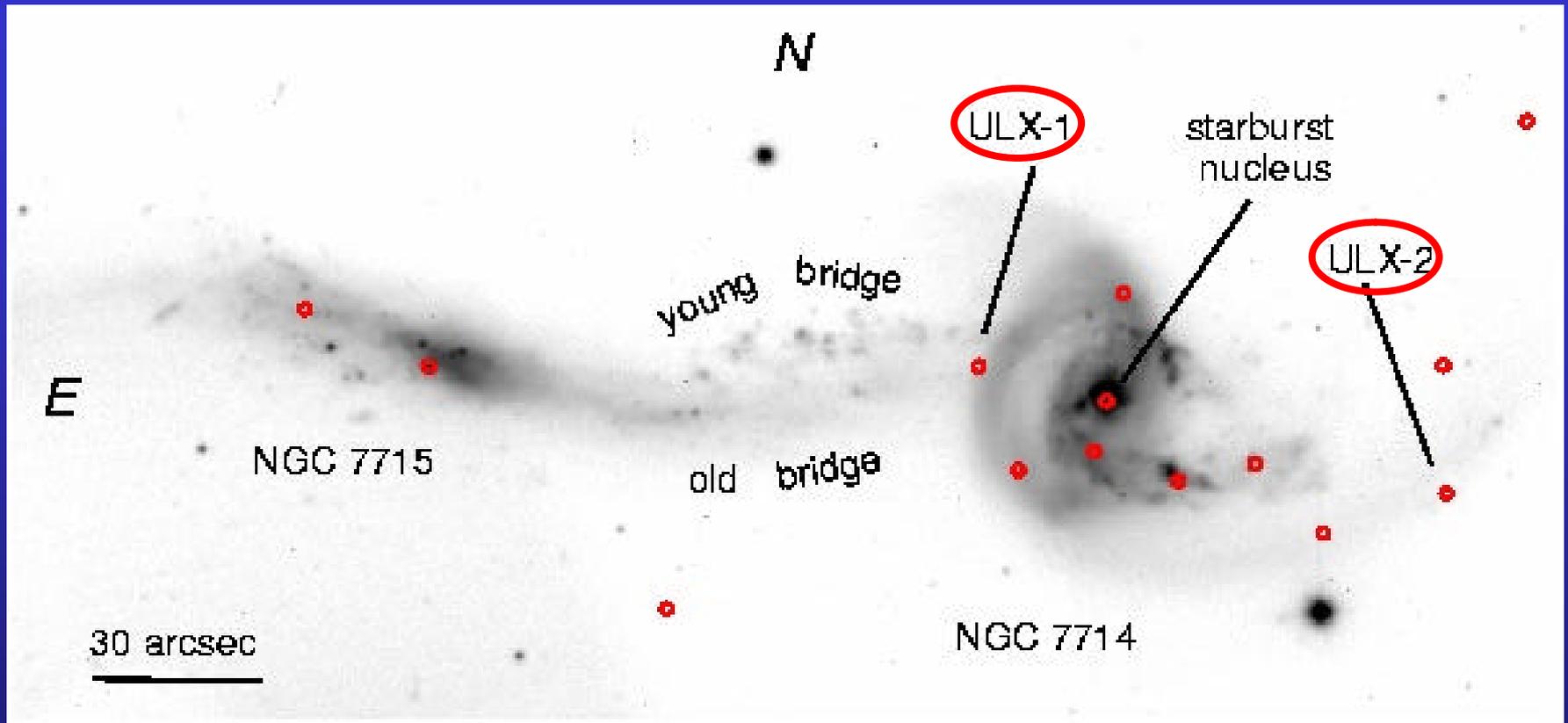


ULX in a young star cluster in M82

$L_x$  varying from  $\sim 10^{39}$  to  $10^{41}$  erg/s

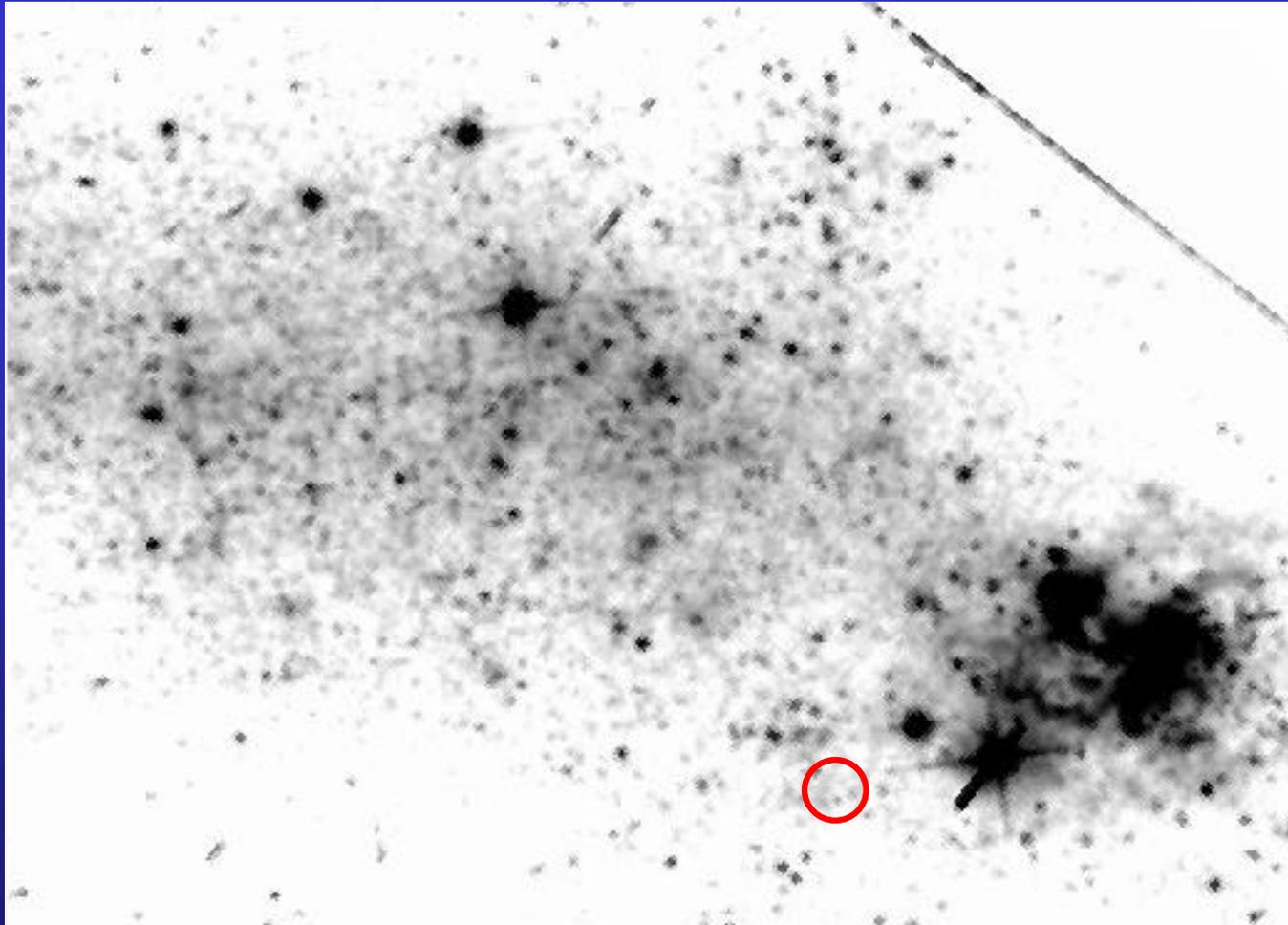
$M_{bh} \sim 1000 M_{sun}$        $M_{cl} \sim 4 \cdot 10^5 M_{sun}$

# Not in clusters



4 ULXs in the colliding galaxies NGC 7714 / 7715  
with  $L_x \sim 2 - 8 \cdot 10^{40}$  erg/s  
2 are in clusters, 2 are not

# Near clusters but not in one

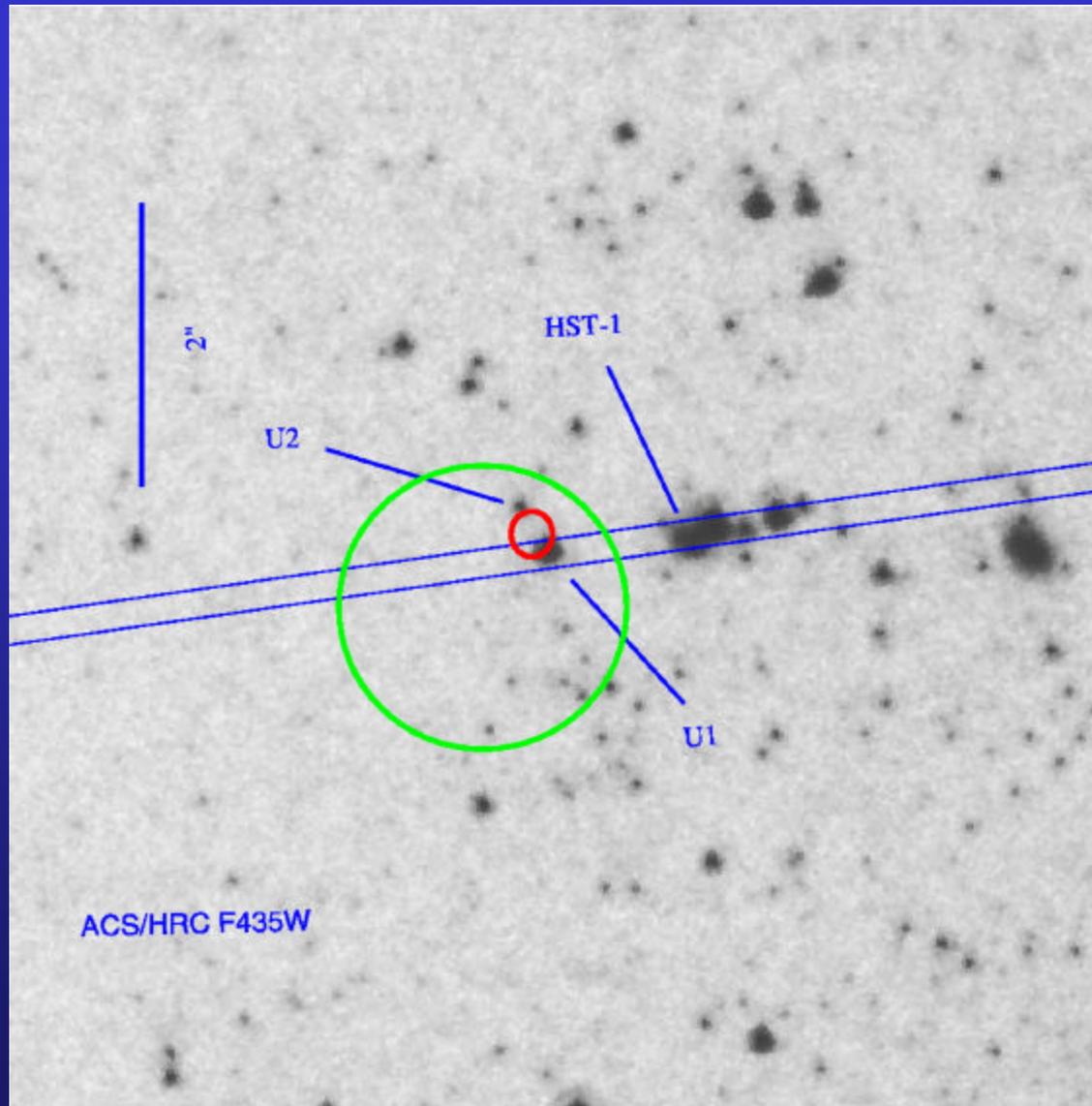


ULX in the starburst dwarf NGC 5408  
with  $L_x \sim 10^{40}$  erg/s

Near B stars but not in a cluster

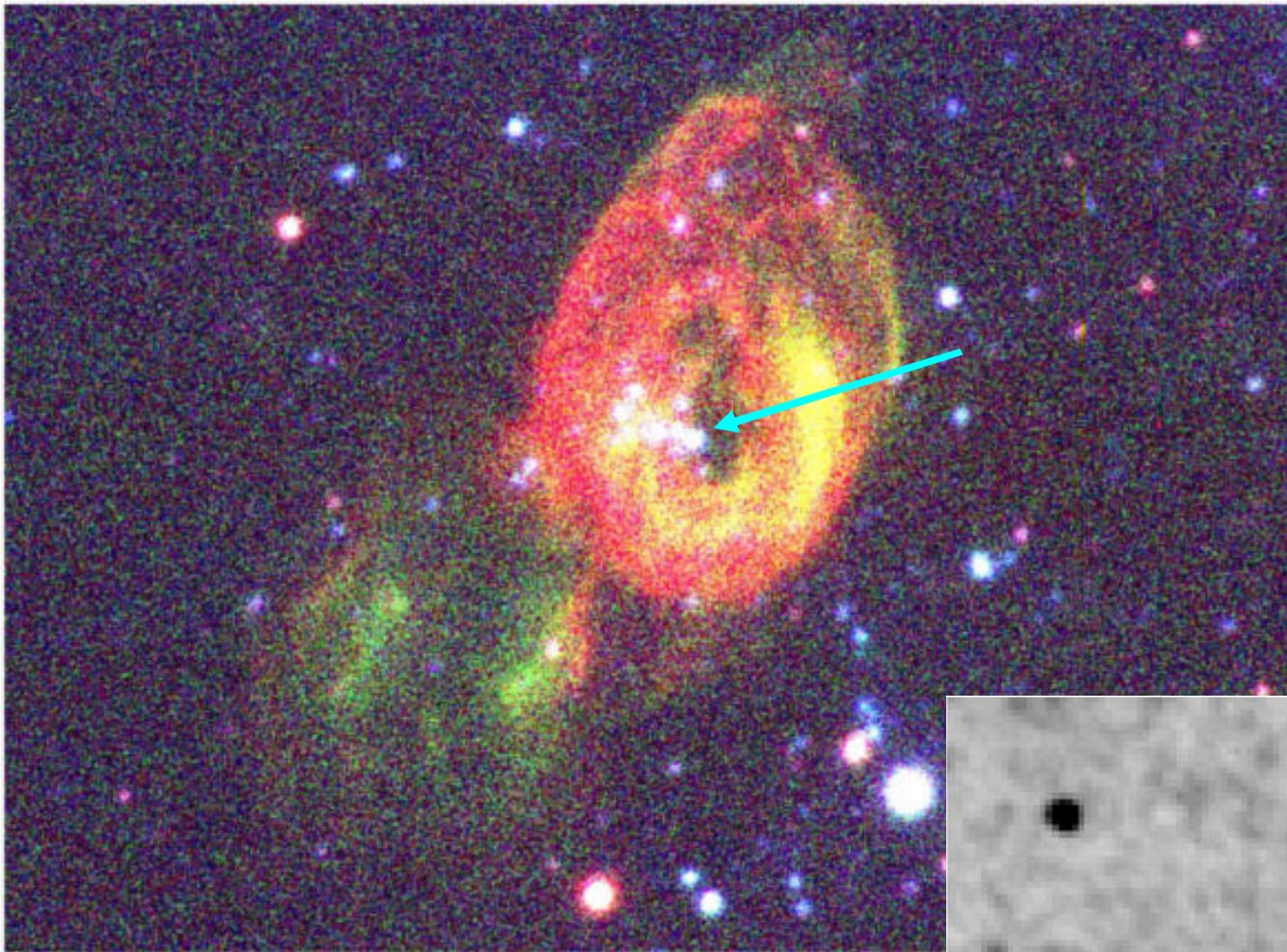
*Kaaret et al 2003*  
*Soria et al 2004*

# Near OB stars but not in a super-star-cluster



ULX in the dwarf galaxy NGC 5204

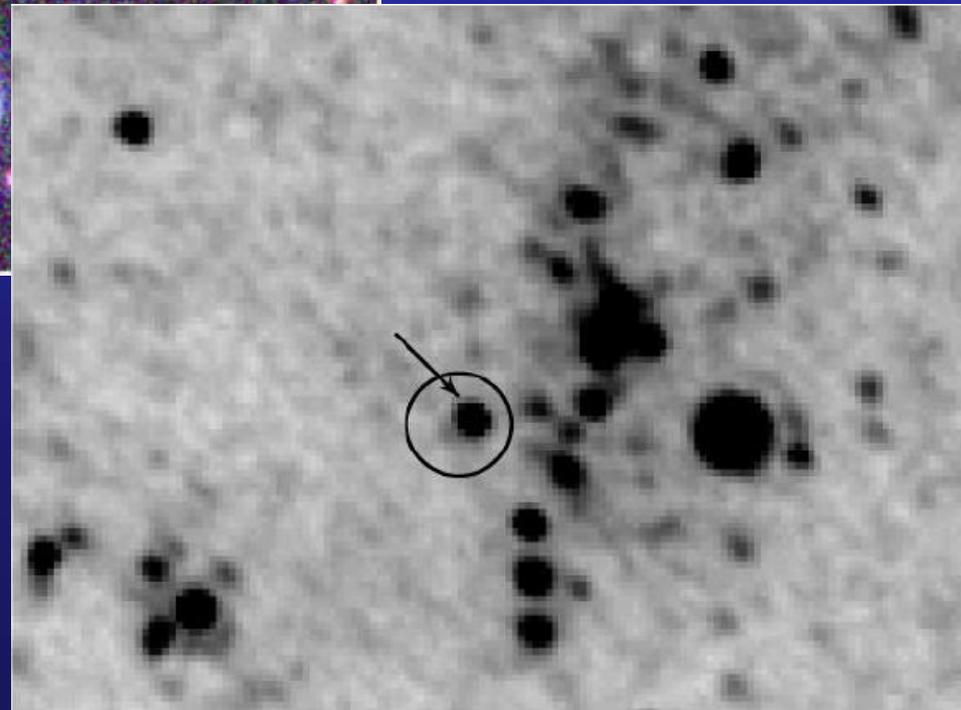
*Liu et al 2004*



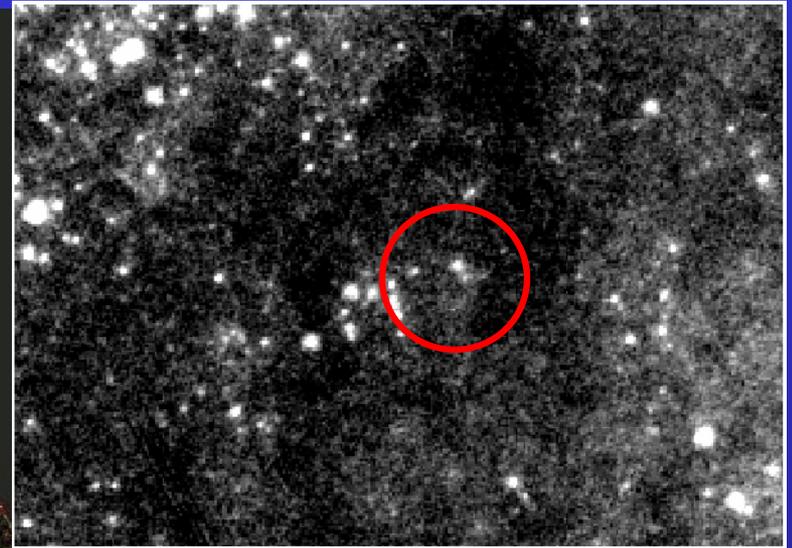
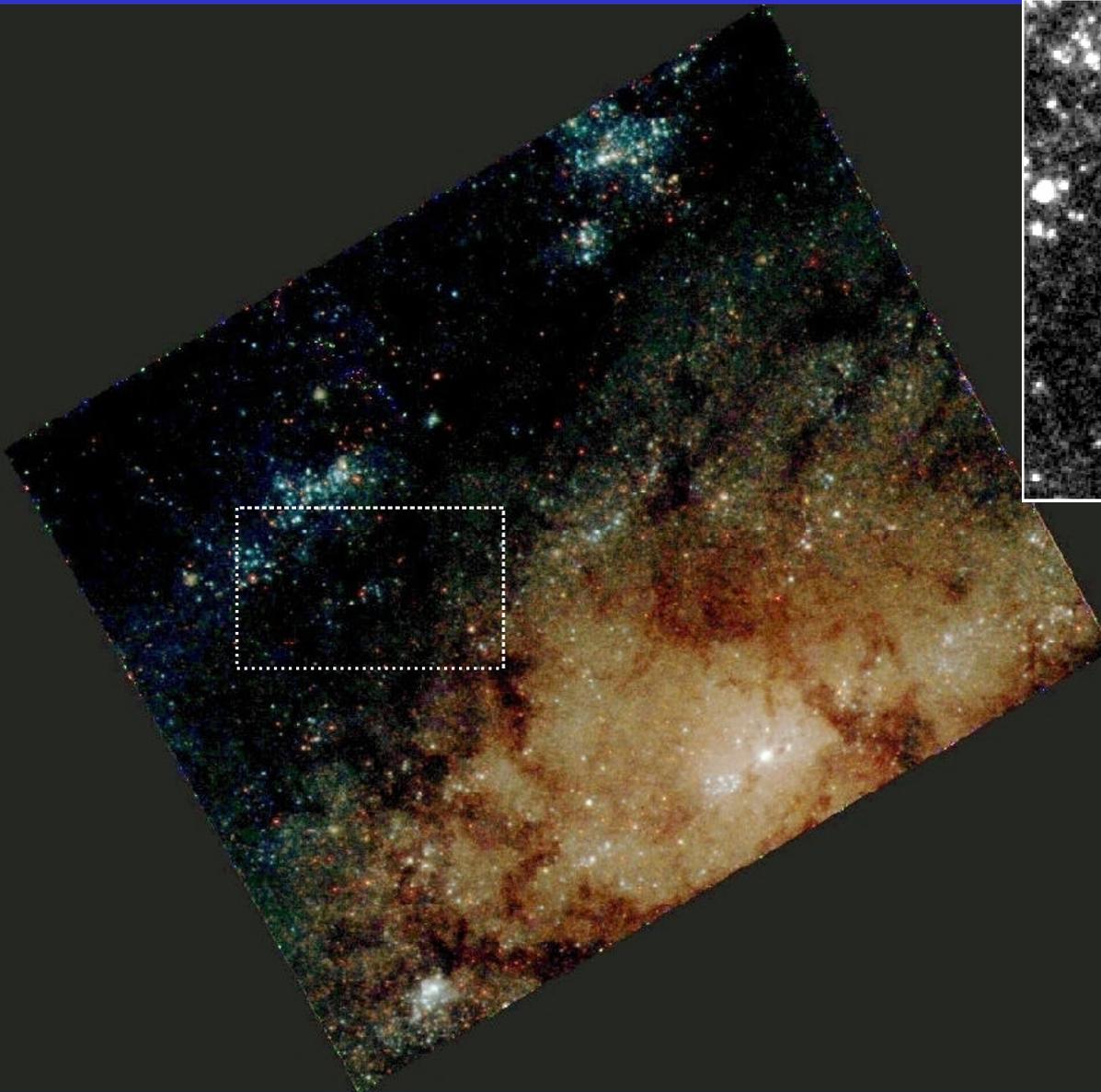
Holmberg IX  
 $L_x \sim 10^{40}$  erg/s

*from M Pakull*

NGC1313 X-2  
 $L_x \sim 10^{40}$  erg/s



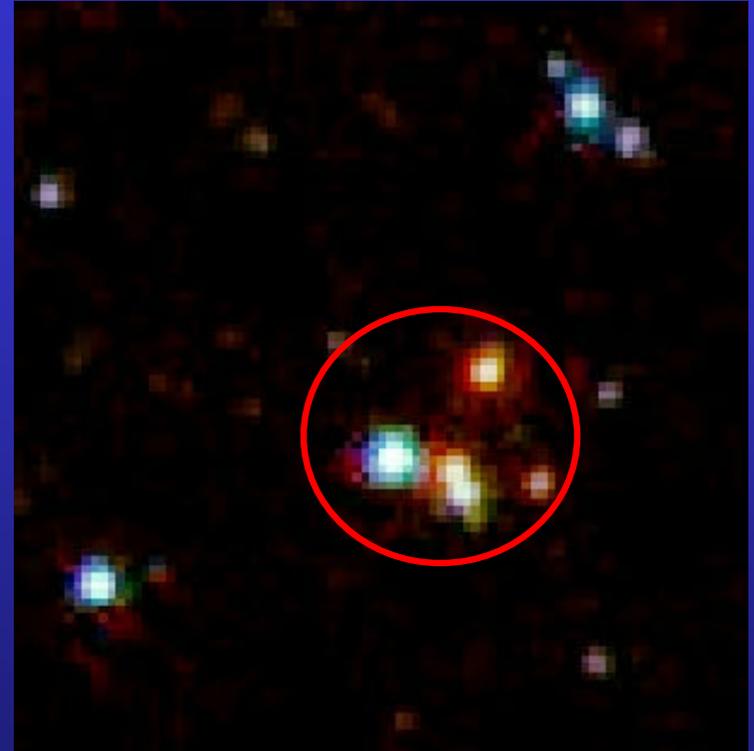
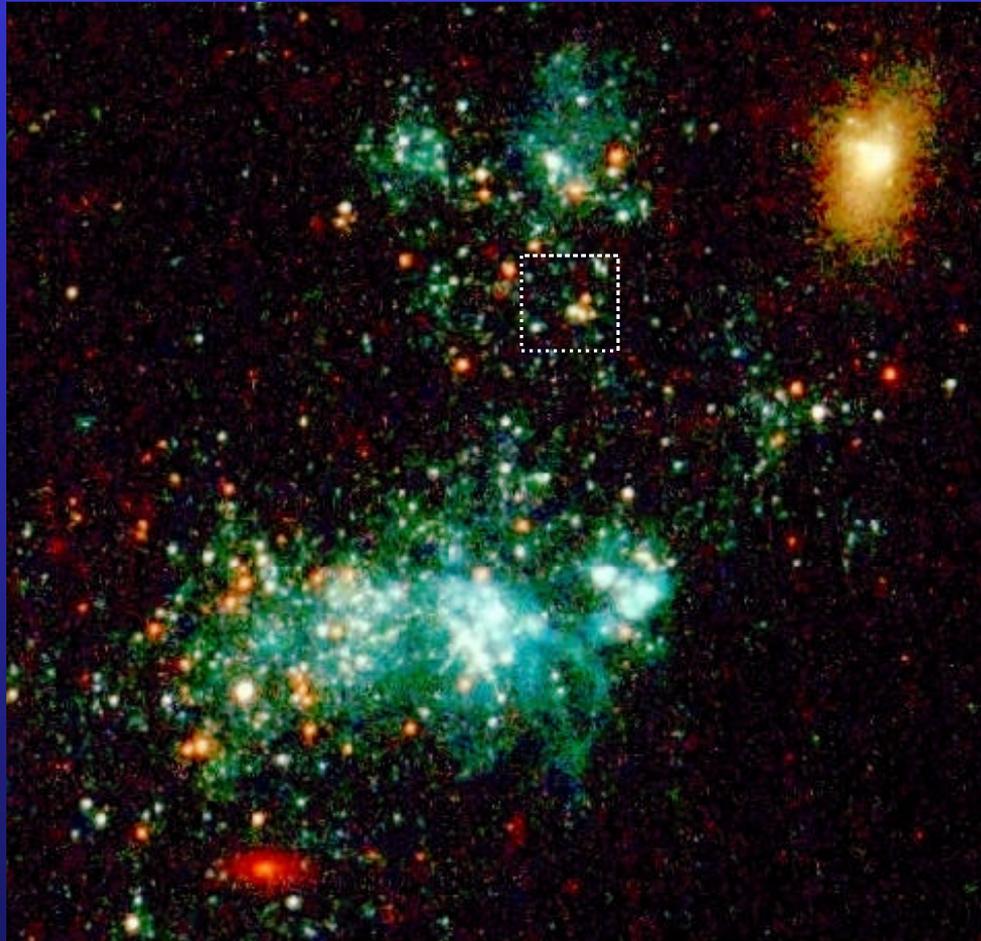
# NGC4559 X-10: near OB stars, no super cluster



A few B stars  
but no big clusters

*Cropper et al 2005*  
*Soria et al 2005*

# NGC4559 X-7: near OB stars, no super cluster



A few B stars  
but no SSCs

Antennae: lots of ULXs, displaced from clusters

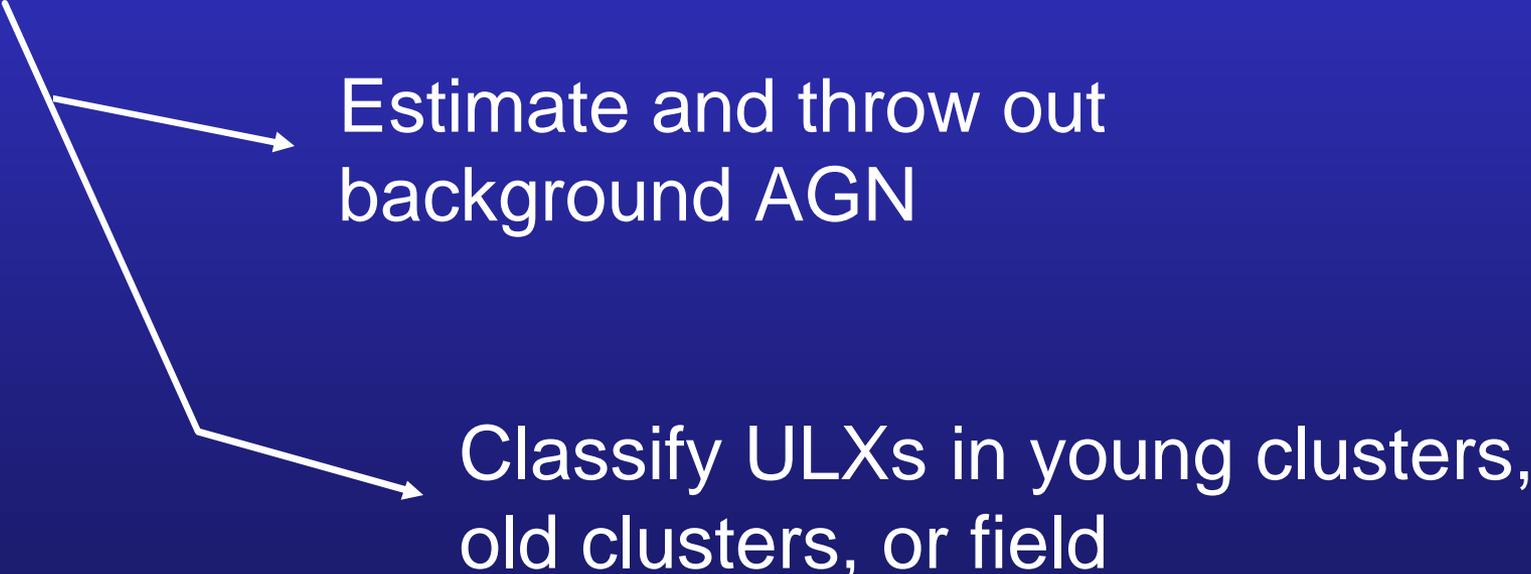


ULXs are displaced from SSCs by  $\sim 100 - 300$  pc

*Zezas, Fabbiano et al 2002*

Swartz et al 2006, in preparation:  
**Determine fraction of ULXs in clusters**

Survey of  $> 100$  candidate ULXs



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graph TD; A[Survey of > 100 candidate ULXs] --> B[Estimate and throw out background AGN]; A --> C[Classify ULXs in young clusters, old clusters, or field];
```

Estimate and throw out background AGN

Classify ULXs in young clusters, old clusters, or field

# Why are most ULXs not inside super clusters?

Were they ejected?

*Inconsistent with IMBH*, would require low BH mass  
(eg, Zezas et al 2002; Belczynski et al 2005)

Have their parent clusters dispersed?

Tidal disruption: always too slow ( $> \sim 50$  Myr)

SN disruption: perhaps....but there are *no signs  
of the dispersed super clusters*

# How to form a 100 - 200 $M_{sun}$ BH?

~~Individual stellar progenitors (Pop-III)~~

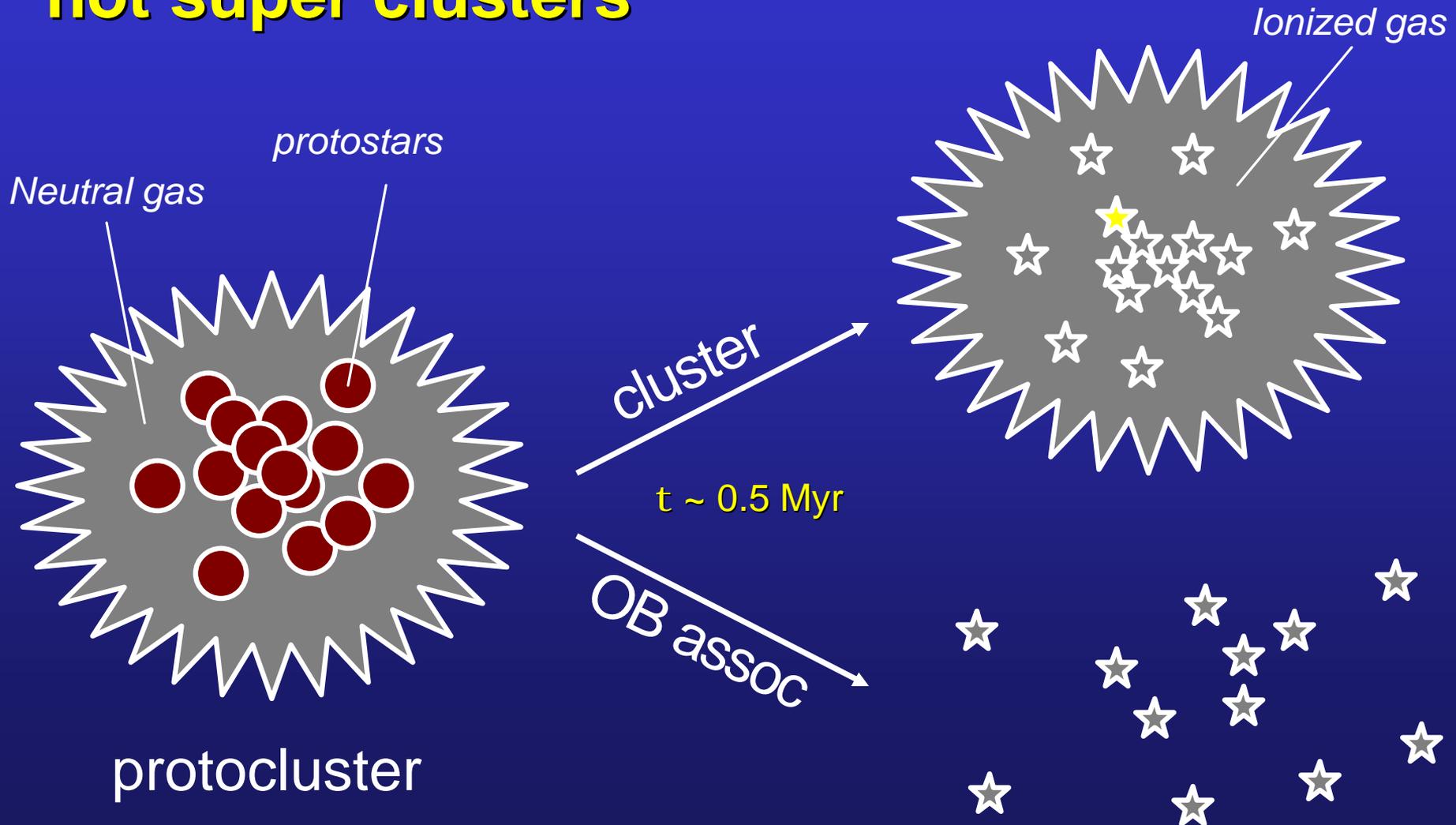
~~Nuclear BHs of accreted dwarf galaxies~~

~~Mergers of stellar-mass BHs in old clusters~~

~~Mergers of O stars in young super clusters~~

*Suggestion:*

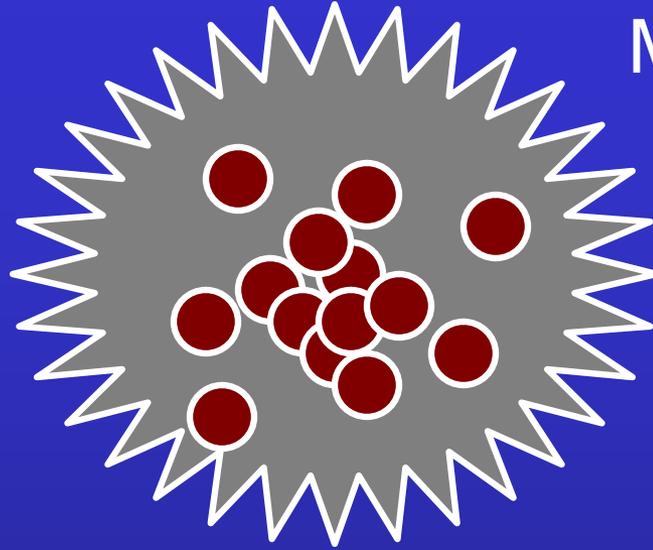
**IMBHs formed in smaller proto-clusters,  
not super clusters**



(eg, Kroupa & Boily, 2002-2004; Geyer & Burkert 2001)

$$\sigma_h < 10 \text{ km/s}$$

$$M \sim 10^{3.5} \text{ -- } 10^5 M_{\text{sun}}$$



Ideal conditions for

forming BHs with  $M \sim 30 \text{ -- } 200 M_{\text{sun}}$   
dispersing the protocluster

# Dense proto-clusters ideal for coalescence

*Elmegreen & Shadmehri (2003)*

*Bally & Zinnecker (2005)*

Stellar captures and mergers are favoured by proto-stellar disks / envelopes

- **Larger cross section**

R (protostar)  $> \sim 100 \text{ AU} > \sim 10^{15} \text{ cm}$

R (O-star)  $\sim 10^{12} \text{ cm}$

- **Envelopes help losing ang momentum**

- **Continuing accretion hardens binaries**

Collisional rates enhanced at high density and low velocity dispersion (gravitational focussing)

# Mid-size proto-clusters are very fragile:

$$M \sim 10^{3.5} \text{ -- } 10^5 M_{\text{sun}}$$

$$\sigma_h < 10 \text{ km/s}$$

They may evaporate “explosively”

when proto-stars  $\longrightarrow$  stars,  
on a timescale  $\tau \sim 0.5 - 1 \text{ Myr}$

when a few massive stars  
coalesce in their core  
(  $\longrightarrow$  merger-induced outflows)

# Massive proto-stellar mergers

Explosive expulsion of gas

proto-cluster disruption

Merger of  $100 + 100 M_{sun}$  stars  
releases  $\sim 10^{51}$  erg

*(Bally & Zinnecker 2005)*

Binding energy of gas in a  $10^5 M_{sun}$  cluster  
 $\sim$  a few  $10^{50} - 10^{51}$  erg

Single SN releases  $\sim 10^{51}$  erg

# Two regimes for coalescence + IMBH formation?

$$M < \sim 10^5 M_{\text{sun}}$$

$$\sigma_h < 10 \text{ km/s}$$

$$t_{\text{cc}} < \sim 0.5 \text{ Myr}$$

IMBH formation  
in unbound proto-cluster



*ULX in a sparse OB assoc  
(size  $> \sim 100 \text{ pc}$ )*

$$M > \sim 10^{5.5} M_{\text{sun}}$$

$$\sigma_h > \sim 10 \text{ km/s}$$

$$t_{\text{cc}} < \sim 3 \text{ Myr}$$

IMBH formation  
in bound cluster



*ULX in a cluster  
(size  $< \sim 3 \text{ pc}$ )*

## Additional advantage of the proto-cluster scenario

Same physical process that creates massive [O + O] binaries, progenitors of BH HMXBs



ULXs in spiral galaxies = high-luminosity  
end of HMXBs (recall Lum Function from Doug's talk)

IN SUMMARY: protocluster scenario may explain:

formation of BHs with  $M \sim 100 M_{\text{sun}}$

why they are no longer in a cluster after  $\sim 10$  Myr  
(why some are surrounded by gas nebula)?

why ULX population looks like tail end of HMXBs

# **Brightest ULXs formed in young proto-clusters** in the local Universe

**Natural outcome of clustered star formation:  
don't need old Pop-III remnants**

**and in the early Universe?**

# IMBHs as seeds for SMBHs at $z > \sim 6$

Hierarchical mergers + accretion *(models by Volonteri et al)*

Galaxy merger / satellite accretion

Star formation  
and/or starburst

Infall of **seed Pop-III IMBHs**  
from halo & satellite galaxies

Some IMBHs  
sink to center

Some IMBHs left  
wandering across galaxy

Merge into SMBH

Gas accretion

# IMBHs as seeds for SMBHs at $z > \sim 6$

Galaxy merger / satellite accretion



Nuclear starburst



**IMBHs formed in nuclear starburst**

(reach galactic center on shorter timescale)



Merge into SMBH



Gas accretion

# Main differences

- Seed IMBHs from Pop-II, clustered star-formation in galactic nuclei, not from Pop-III halo stars
- Shorter dynamical timescale for seed IMBHs to sink and merge
- Don't need actual satellite mergings, just tidal interactions → gas inflow → starburst

# NGC 7714/5

N

E

NGC 7715

young bridge

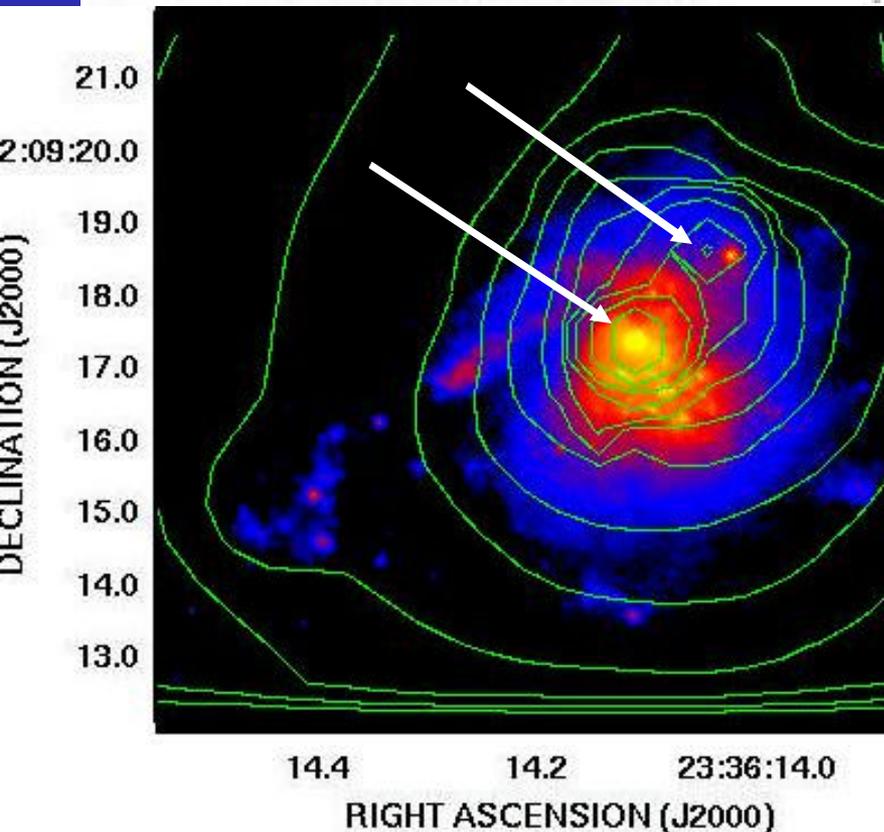
old bridge

ULX-1

starburst nucleus

ULX-2

NGC 7714



Early phase of assembly  
of an SMBH from IMBHs  
in a nuclear starburst?

*(Smith et al 2004)*

# Summary: we speculate that:

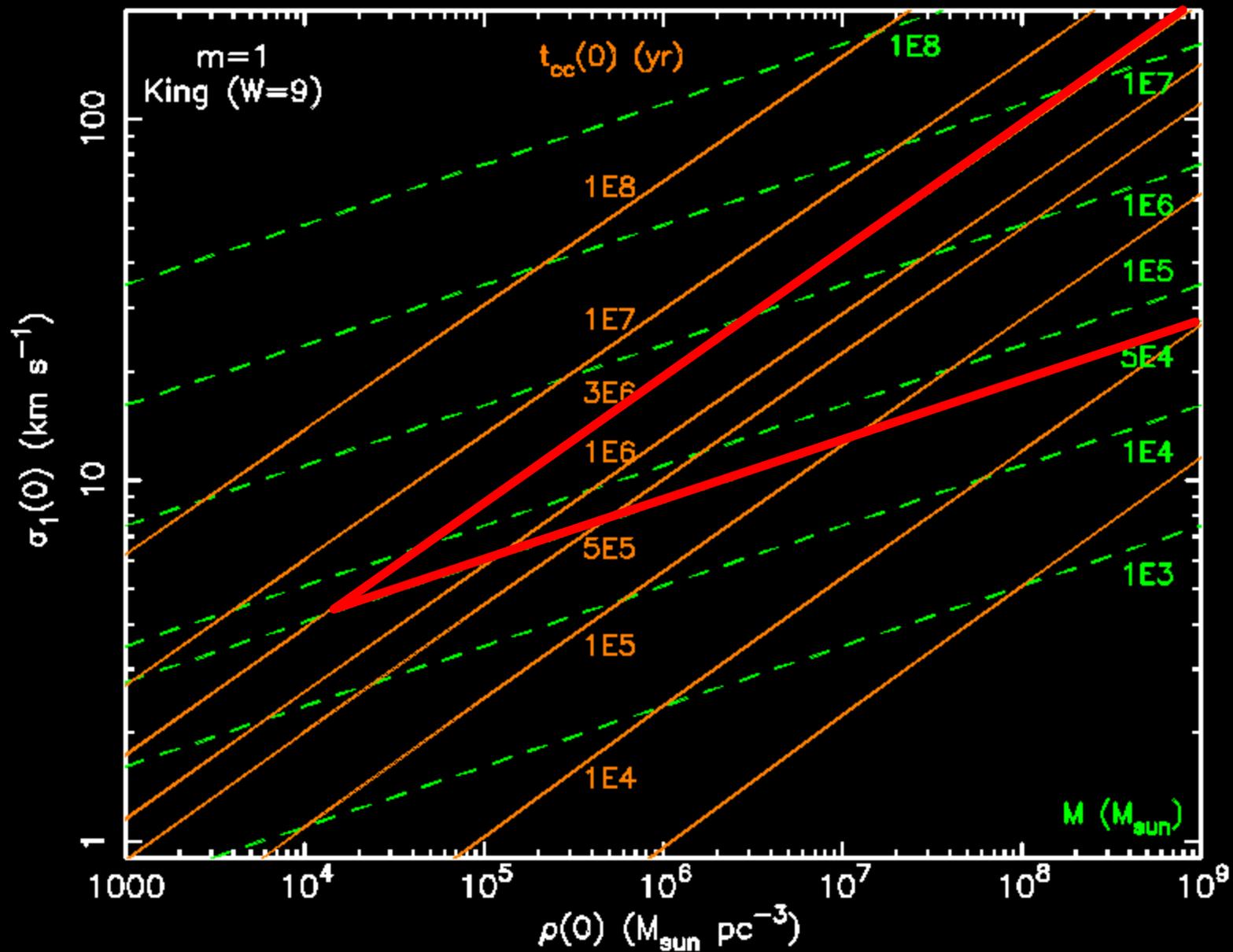
Most ULXs could be BHs with  $M \sim 30 - 200 M_{\text{sun}}$  formed in medium-size, dense proto-clusters, via merger of a few massive proto-stars



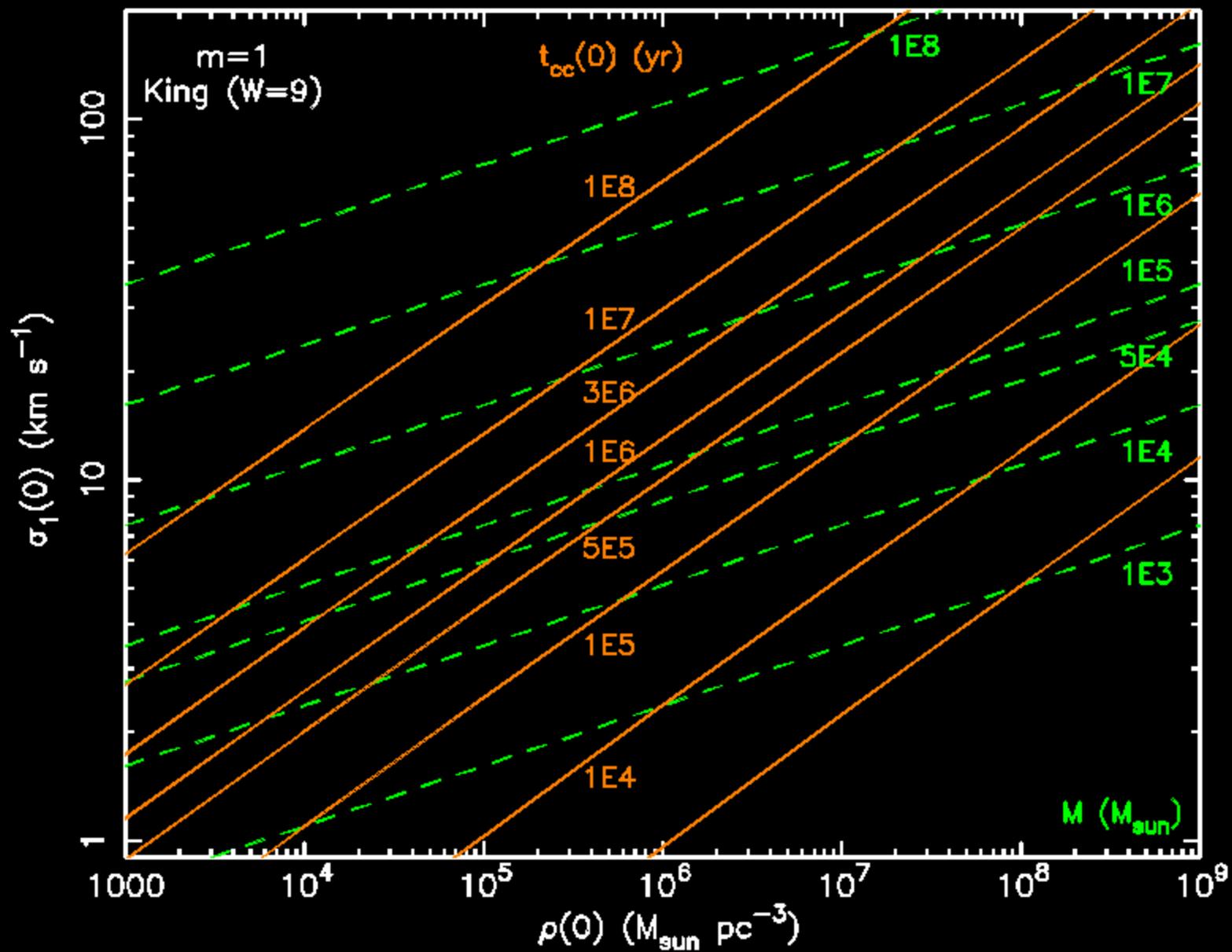
Essentially same process that forms HMXBs, normal outcome of clustered star formation

SMBHs assembled from Pop-II seed BHs  
(during massive nuclear starbursts at  $3 < z < 10$ )  
not from Pop-III BHs sinking down from the halo

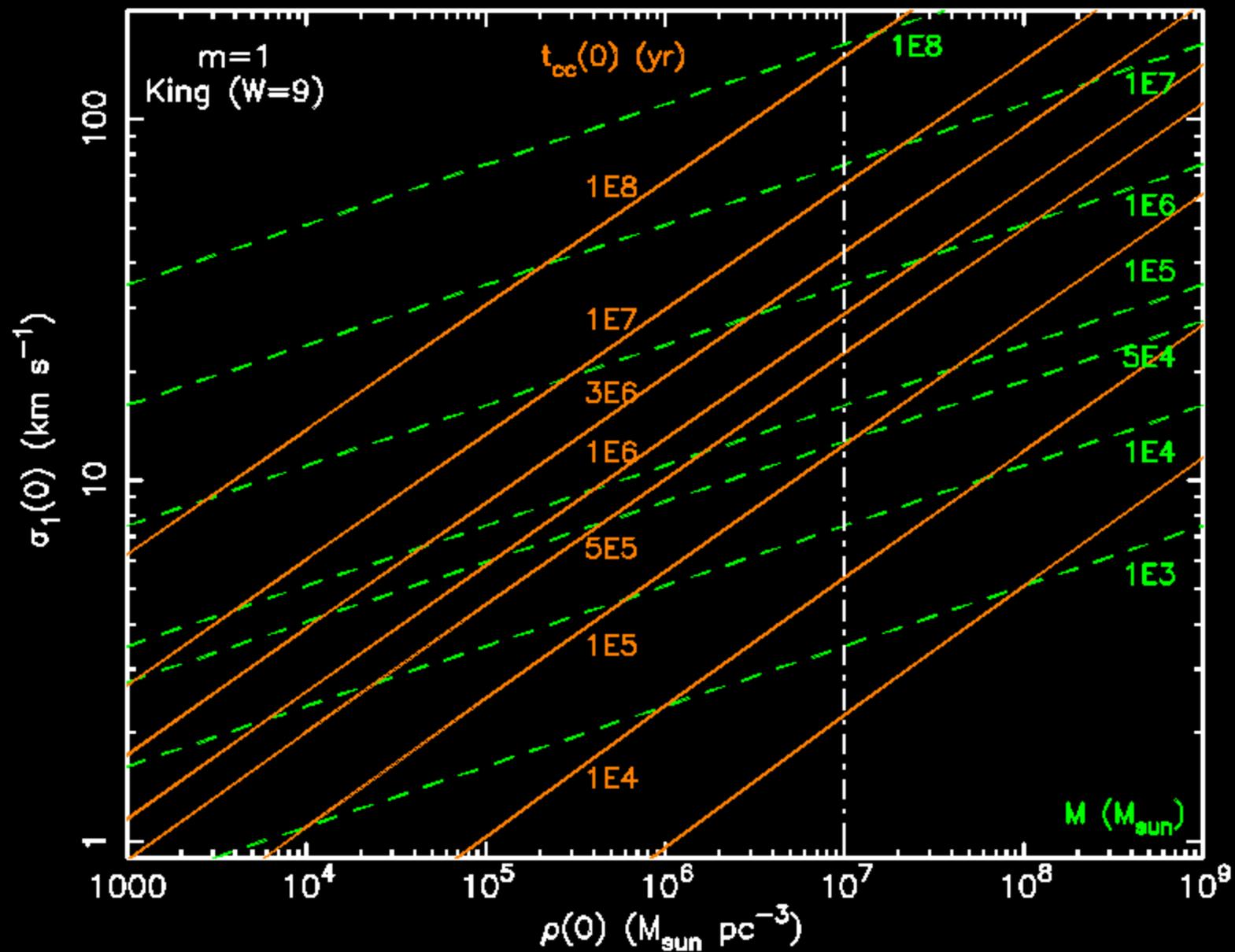
# Parameter space for IMBH formation from core collapse



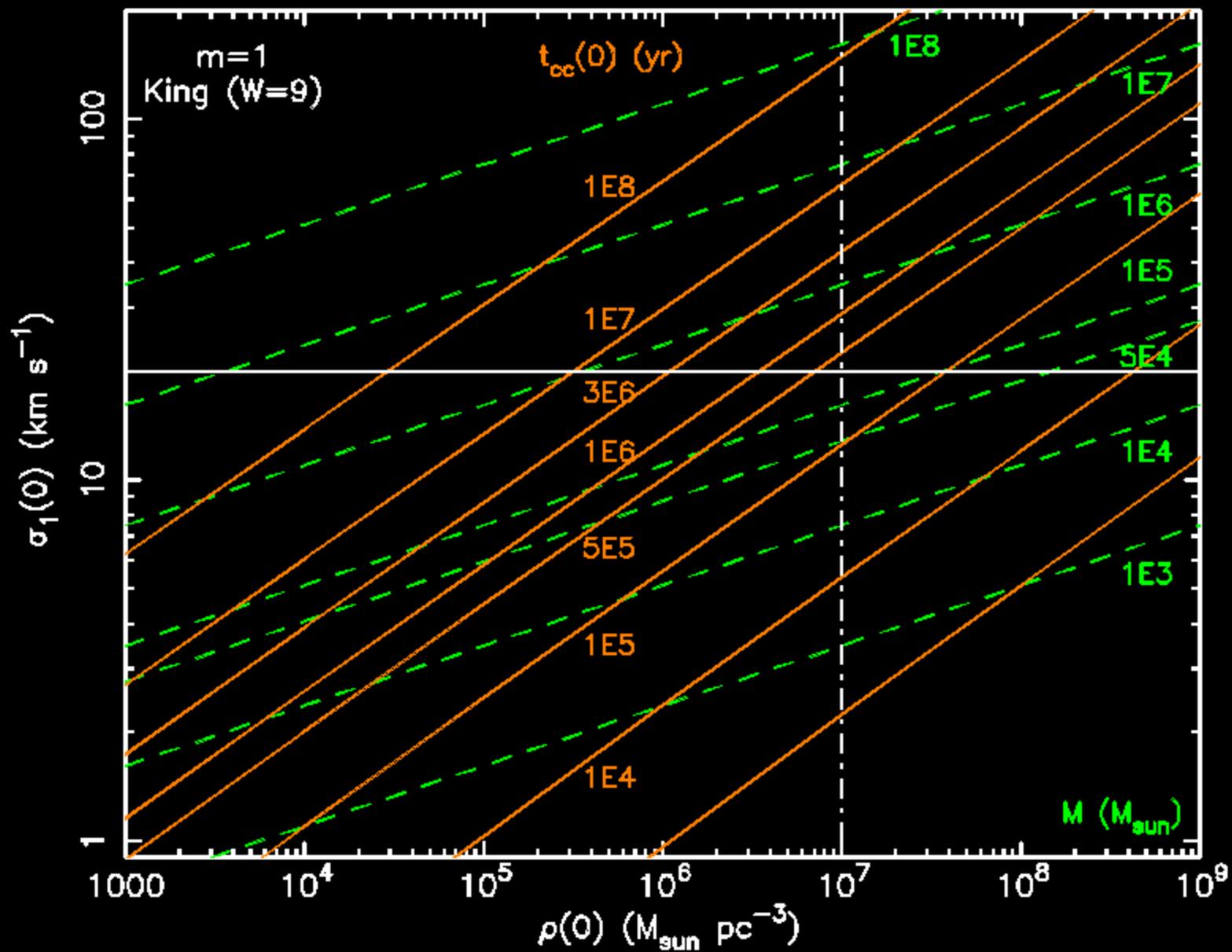
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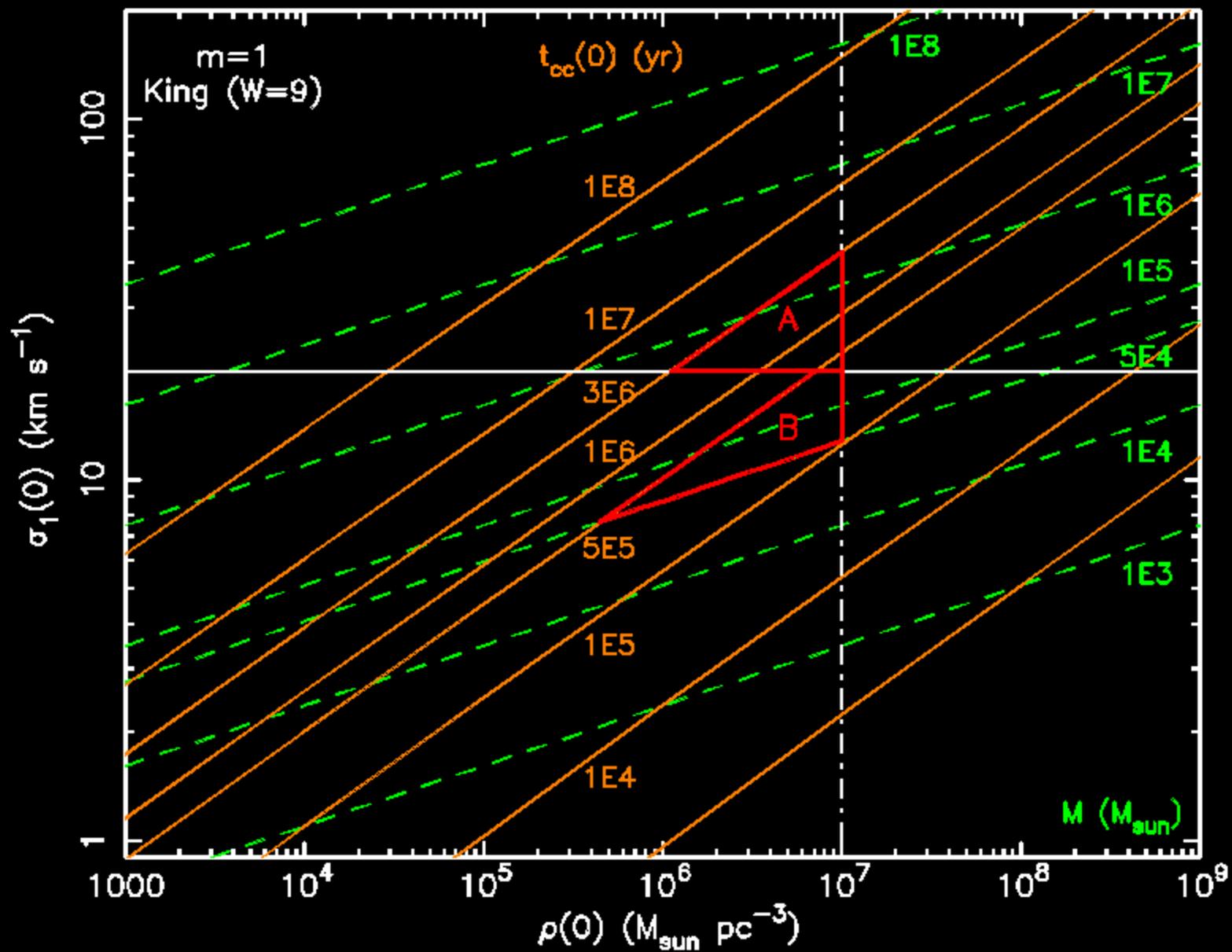
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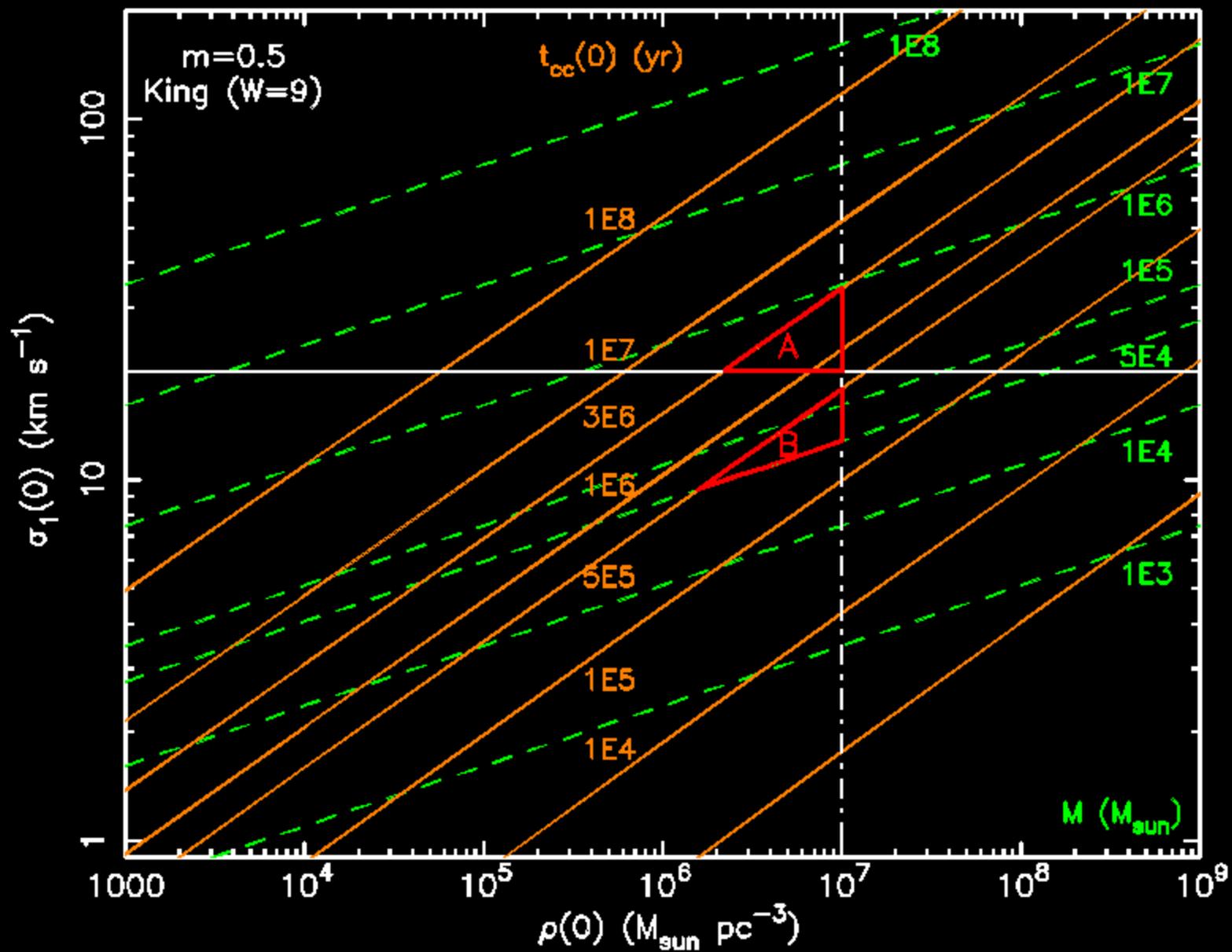
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